

**ThermoFisher**  
S C I E N T I F I C

## Sharing Information & Achieving Secure and Compliant Data Management

Maarten van As  
Account Manager Informatics

The world leader in serving science

# The World Leader in Serving Science

## Global Scale

- 50,000 employees in 50 countries
- \$17 billion in annual revenues
- Unparalleled commercial reach
- 10,000 employees working with customers every day

## Unmatched Depth

- Innovative technologies
- Applications expertise
- Lab productivity partner

## Premier Brands

*life*  
technologies

**Thermo**  
SCIENTIFIC

**F** **Fisher**  
**Scientific**

**Unity** Lab Services

# Thermo Fisher Scientific Informatics

## Unparalleled Industry Expertise

- Informatics provider for over 32 years
- Over 300 dedicated employees with 100 in-house services personnel
- Second to none LIMS, SDMS, spectral & integration capabilities
- Over 1500 LIMS implementations
- Strategic partnerships with hardware & software vendors
- ISO 9001:2008 compliant QMS for global Development, Sales Services & Support
- Integration & paperless lab specialists



***The Leader in  
Laboratory Productivity***

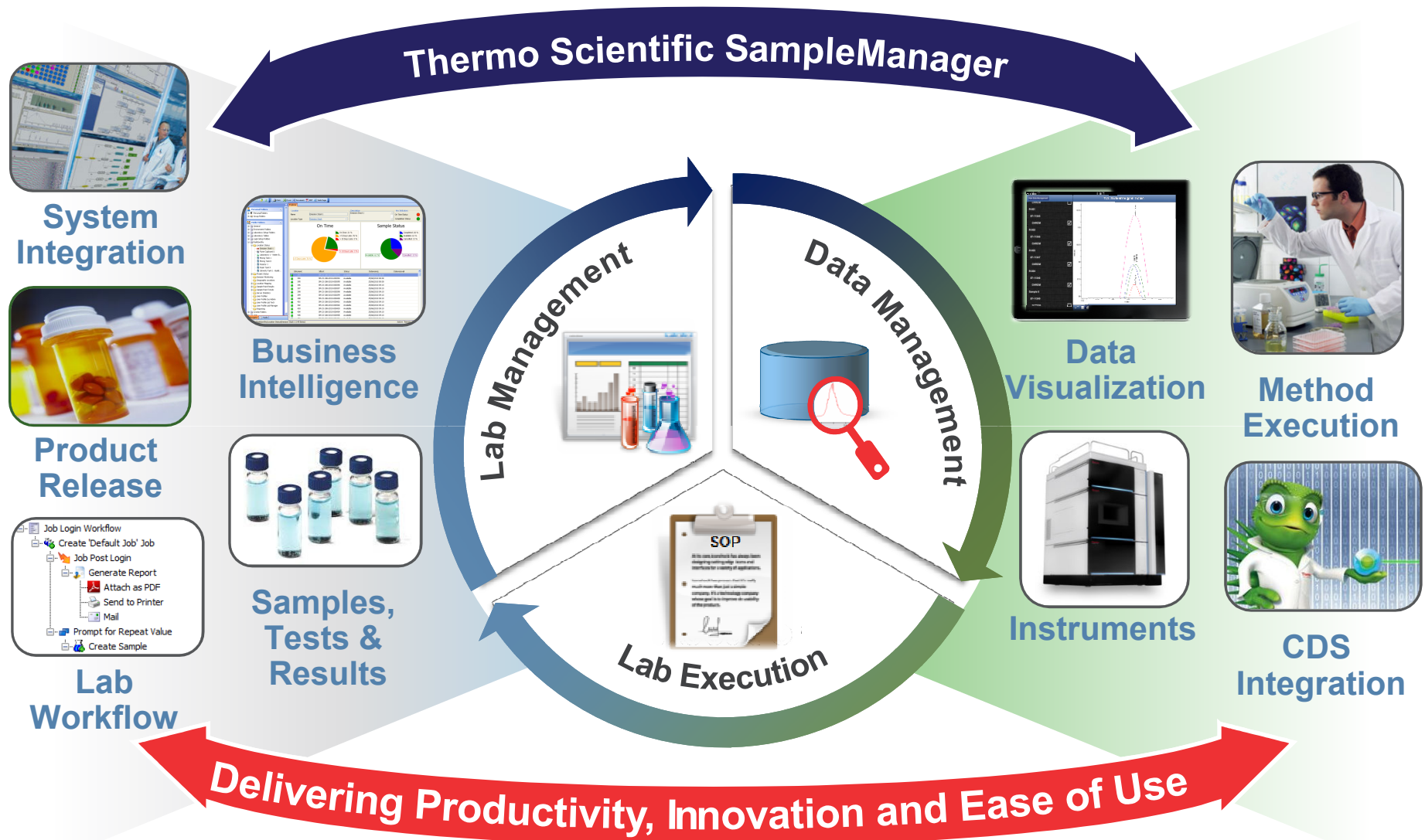
## Global Presence



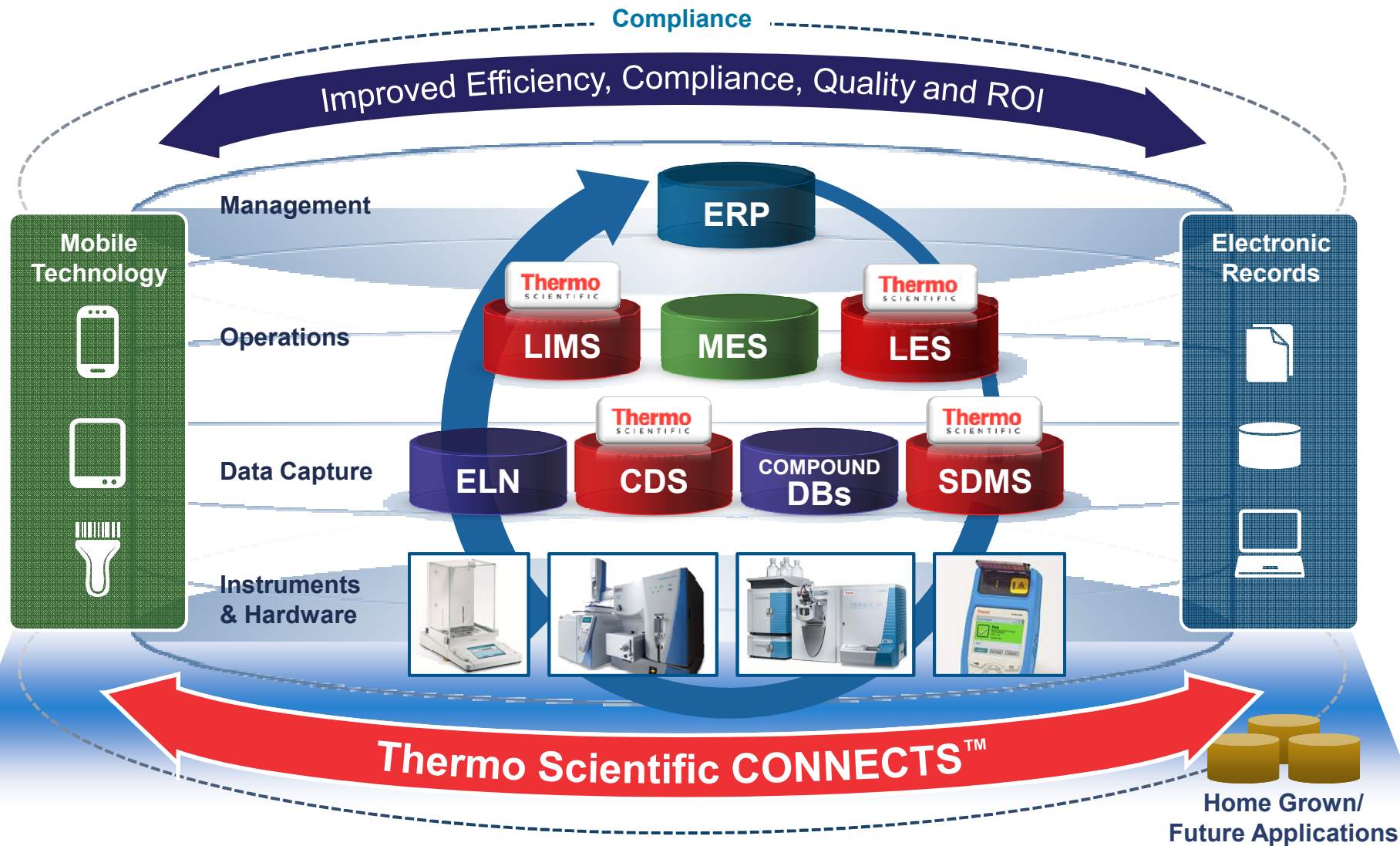
## Local Reach

- UK & NL Based Support Desk
- NL Based Implementation services
- Partner network
- Local Account Management.

# Integrated Laboratory Informatics Solution



# Improve Quality Delivering The Paperless Lab Environment



# Data Management

## Thermo Scientific Data Manager



**Real-time raw data access**  
anytime, anywhere, any format



# Data Management

## The problem faced by scientists across the globe

- Laboratories produce vast amounts of data from a number of different scientific instruments
  - MS, ICP, FTIR, UV, AA, LC, GC, SEM.....
- Some questions.....

How do you make sure you can access the original raw data?

How do you centralise this data?

How do you collect all these different data types from each instrument efficiently?

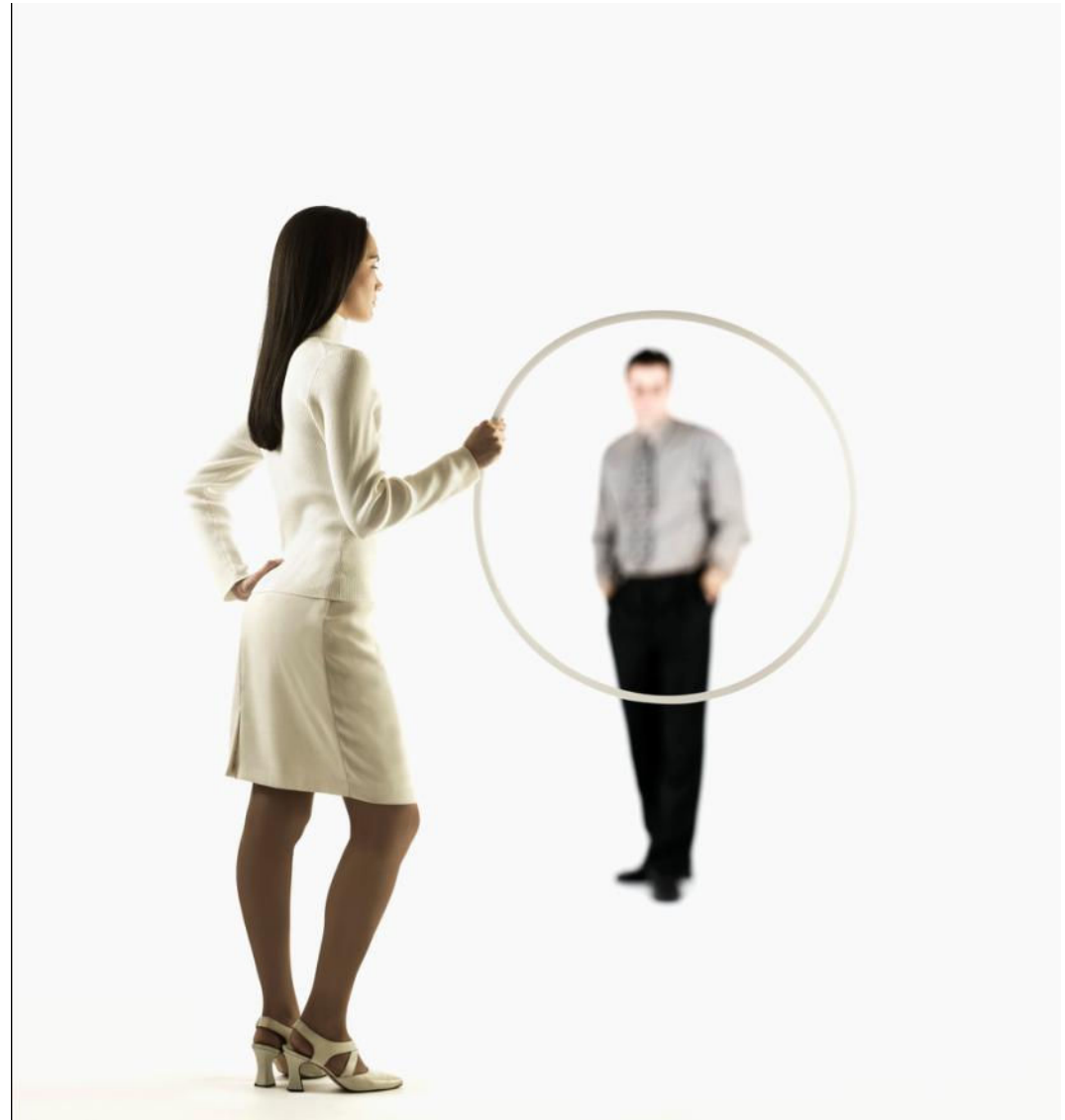
How do you search all this data?

How do you future proof this data?

How do you share this data?

# The regulatory drivers to manage data?

- Food and Drugs Administration
- Drinking Water Inspectorate or country specific bodies
- UKAS
- ISO 17025
- Patent Practices





# US Food and Drugs Administration



Department of Health and Human Services

Public Health Service  
Food and Drug Administration  
Silver Spring MD 20993

## Warning Letter

WL: 320-12-08

### VIA UPS MAIL

February 23, 2012

Dr. Ayman Sahli  
Chief Executive Officer  
Gulf Pharmaceutical Industries  
P.O. Box 997  
Airport Road, Digdaga Area  
Ras al Khaimah, UAE

Dear Dr. Ayman Sahli:

During our September 25 to October 3, 2011 inspection of your pharmaceutical manufacturing facility, Gulf Pharmaceutical Industries, located at Airport Road, Digdaga Area Ras Al Khaimah, United Arab Emirates, investigators from the U.S. Food and Drug Administration (FDA) identified significant violations of Current Good Manufacturing Practice (CGMP), Title 21, Code of Federal Regulations, Parts 210 and 211. These violations cause your drug product(s) to be adulterated within the meaning of section 501(a)(2)(B) of the Federal Food, Drug, and Cosmetic Act (the Act) [21 U.S.C. § 351(a)(2)(B)] in that the methods used in, or the facilities or controls used for, their manufacture, processing, packing, or holding do not conform to, or are not operated or administered in conformity with, CGMP.

We have reviewed your firm's response of October 28, 2011, and note that it lacks sufficient corrective actions.

Specific violations observed during the inspection include, but are not limited to, the following:

# US Food and Drugs Administration

4. Your firm has not established appropriate controls over computer or related systems to assure that changes in master production and control records or other records are instituted only by authorized personnel. Your firm also fails to maintain a backup file of data entered into the computer or related system [21 CFR § 211.68(b)].

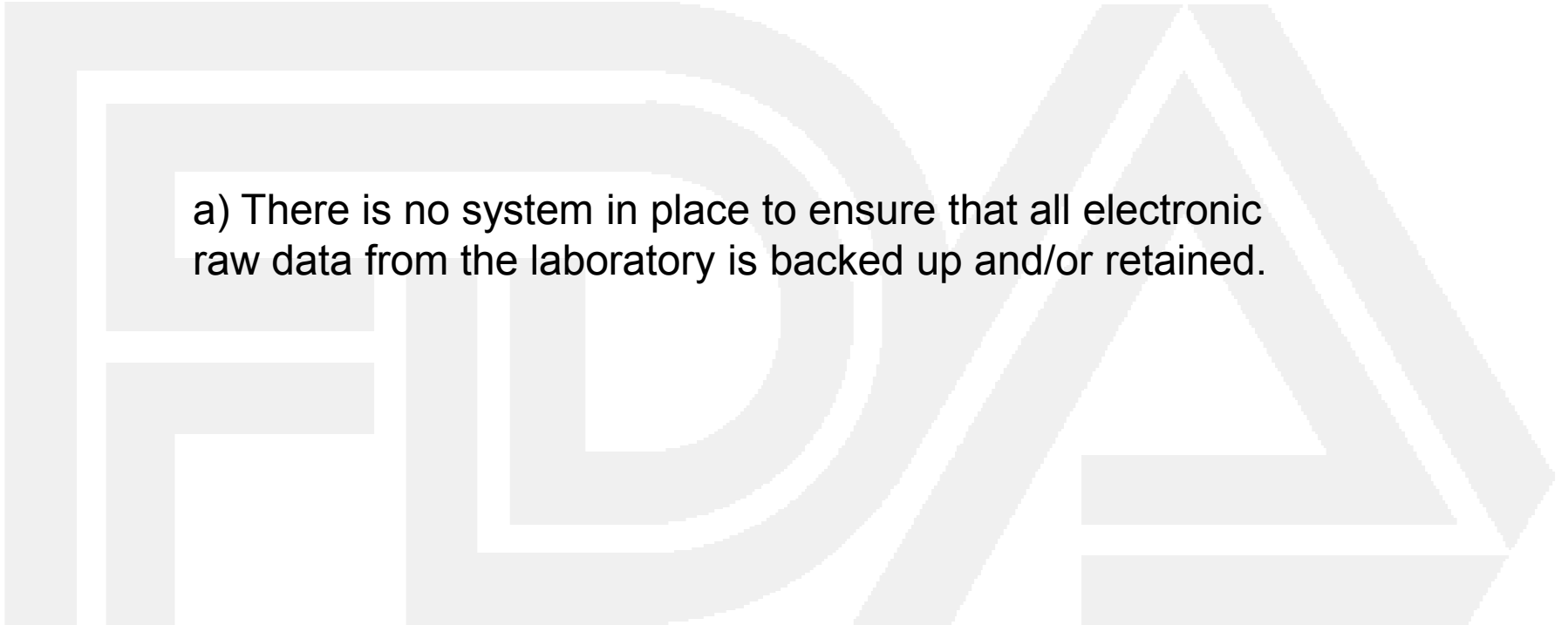
For example,

- a) There is no system in place to ensure that all electronic raw data from the laboratory is backed up and/or retained.

During the inspection, you informed our investigators that electronic raw data would not exist for most HPLC assays over two years old because data is not backed up and storage space is limited.

Data is deleted to make space for the most recent test results. You also informed our investigators that printed copies of HPLC test results are treated as raw data.

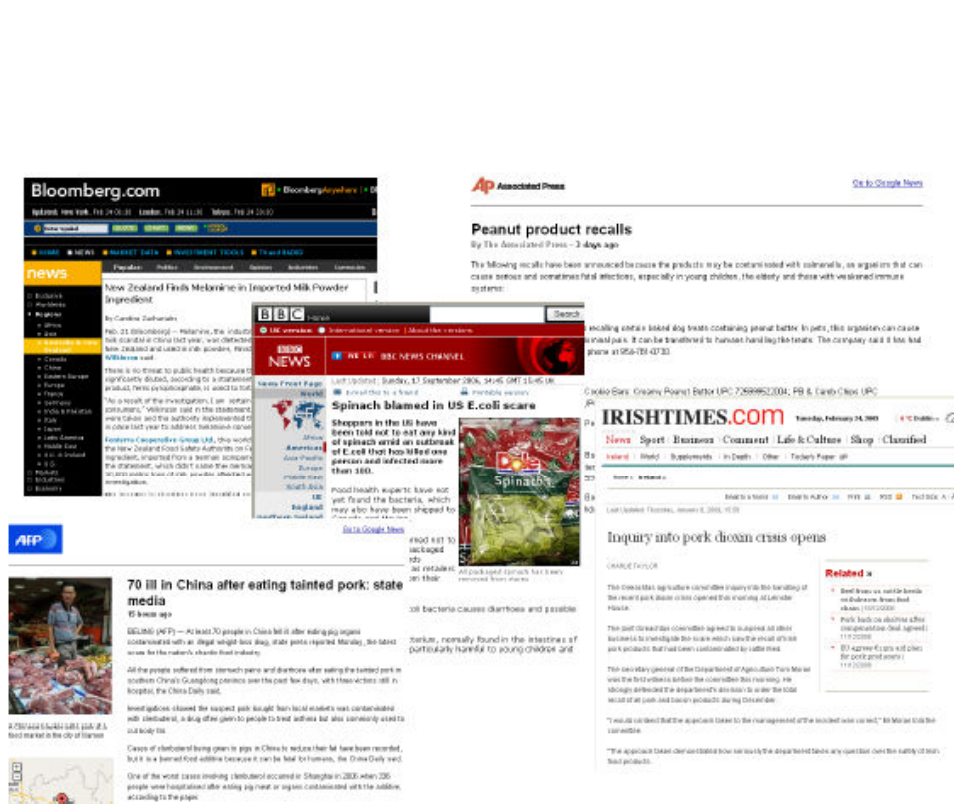
Printed copies of HPLC test results from your firm's systems do not contain all of the analytical metadata (for example: instrument conditions, integration parameters) that is considered part of the raw data. We acknowledge that your response indicates that you have created a procedure in order to implement the back-up and retention of HPLC data. This electronic HPLC data supports testing, disposition, and other significant quality control decisions, and it is essential that you maintain this information for each batch. In your response, please provide a detailed update on your firm's implementation of this correction. Also describe your firm's policy for retaining HPLC raw electronic data associated with pending applications.



a) There is no system in place to ensure that all electronic raw data from the laboratory is backed up and/or retained.

Printed copies of HPLC test results from your firm's systems **do not contain all of the analytical metadata** (for example: instrument conditions, integration parameters) that is considered part of the raw data.

# Food Safety testing – increasing regulatory scrutiny



# What is Data Manager?



**XML Conversion**

A composite image showing data management and access. At the top left is a Windows Explorer window titled 'Exploring - Aliquot-05-07-2006-(1)'. A magnifying glass is focused on a context menu with options: 'New', 'DM2', 'Link To - By Identifier', 'Link To - By Filter', 'Extensions', 'Report', and 'Notes...'. To the right of the magnifying glass is a snippet of XML code. Below the magnifying glass is a screenshot of the 'GRAMS Envision - [Linked Data Viewer - 1]' software. The software interface includes a 'File Navigator' on the left with a tree view of 'Sample 1' through 'Sample 14'. The main area shows a chromatogram with a single sharp peak. On the right, there is a 'Linkage' pane with a tree view of data links. A large white arrow points from the 'Link To - By Identifier' option in the magnifying glass to the 'Linkage' pane in the software screenshot.

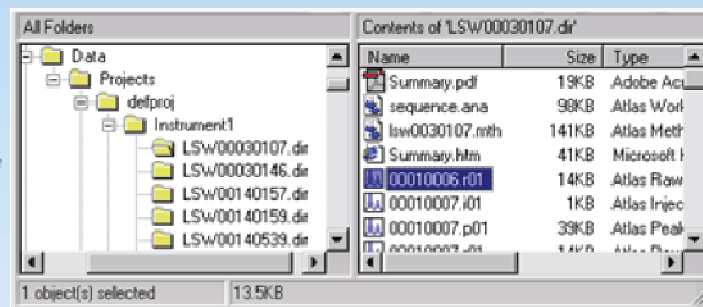
**Data Management**

**Seamless Data Access**

# Data Capture, Storage and Indexing

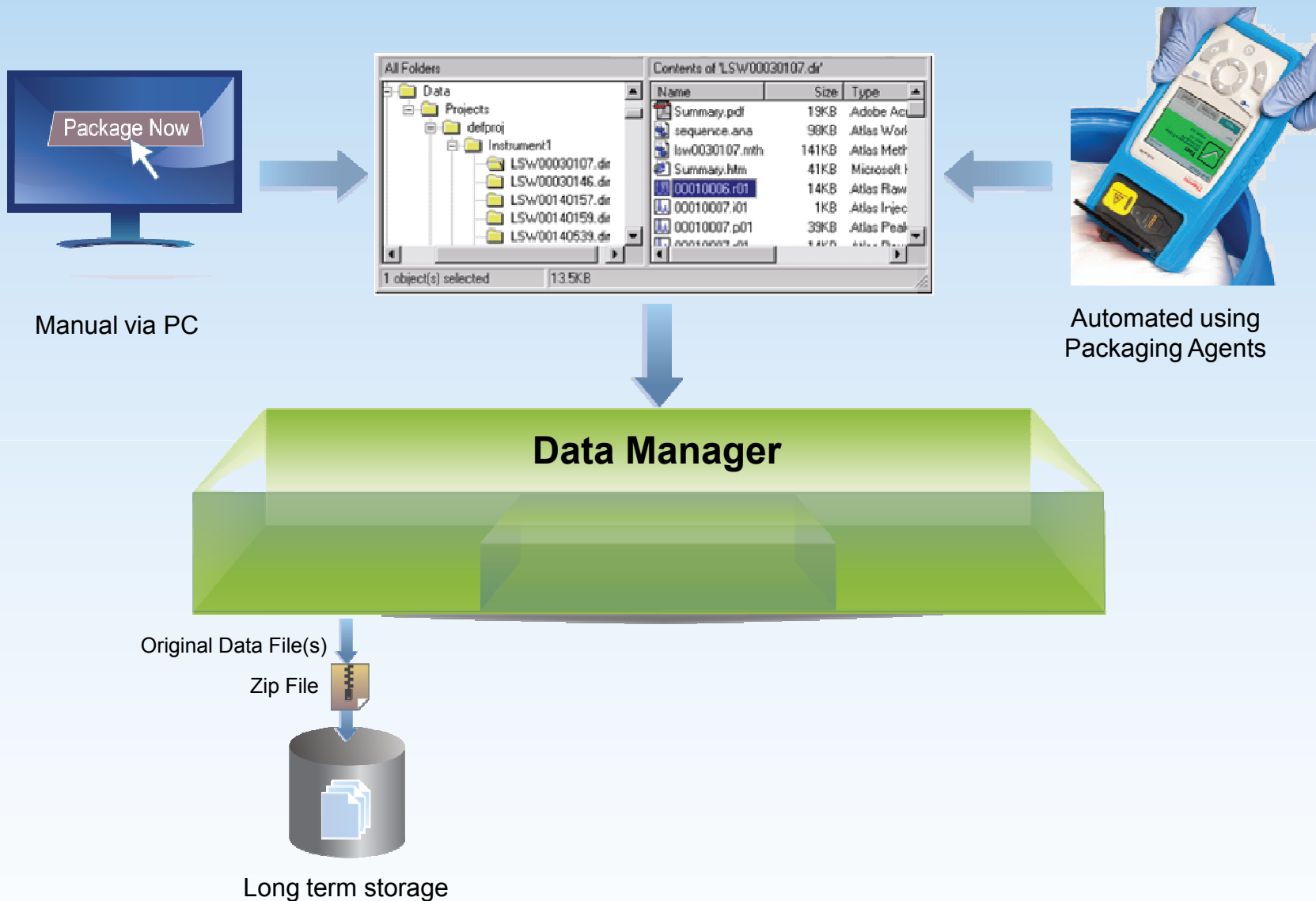


Manual via PC



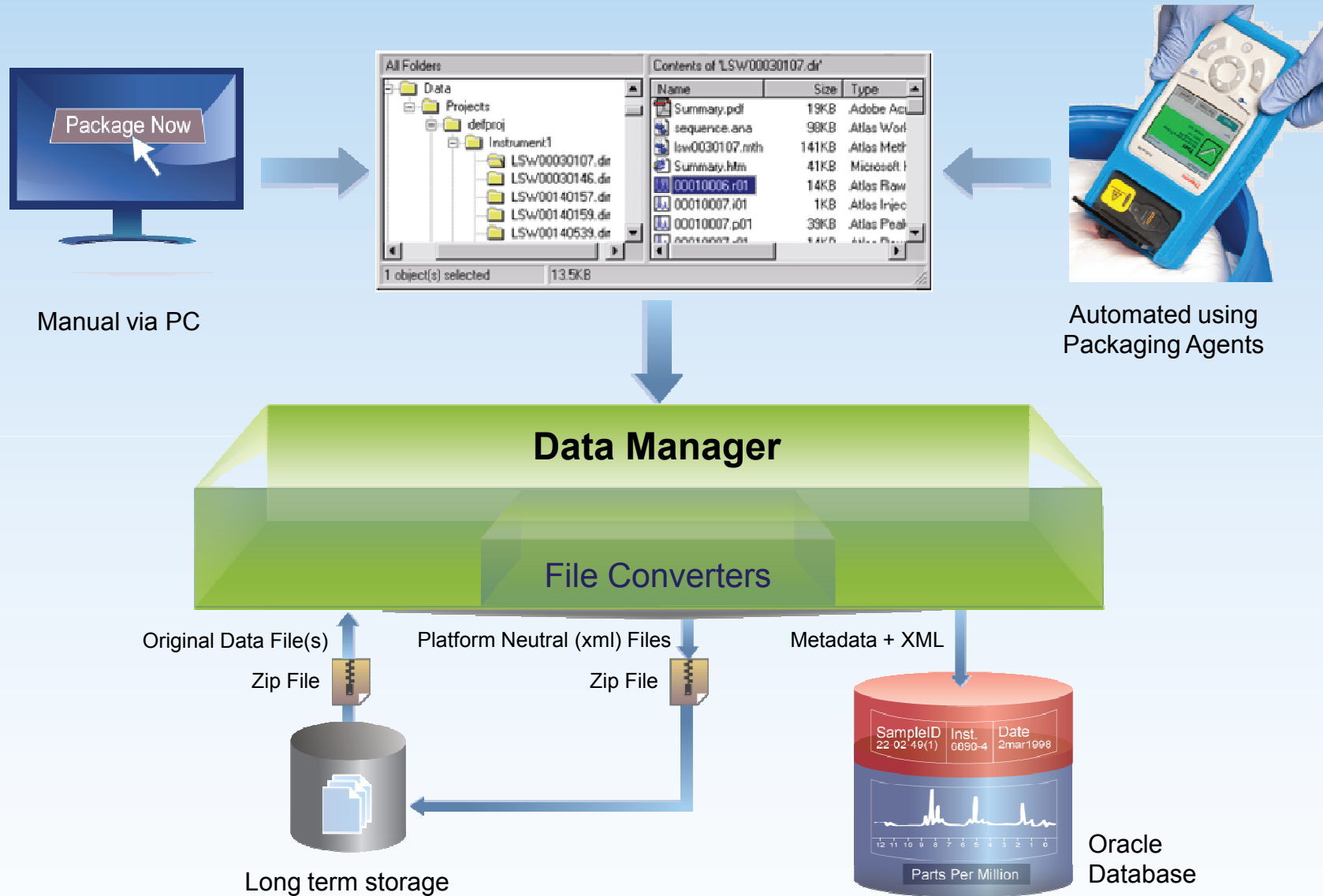
Automated using Packaging Agents

# Data Capture, Storage and Indexing















# Data Capture, Storage and Indexing













# 180 Supported Instrument Formats

Acton Research SpectraSense	Dilor Microdil 28/Z24 Raman (V1)	Ocean Optics OOBASE32 UV-Vis	Thermo Scientific Elemental VG
Agilent ChemStation LC/GC/PDA/MS*	Dionex-Lee Scientific 600 Chromatography	Optical Solutions PS-1/PS-E Spectrometer	PlasmaQuad ICP-MS
Agilent HP LAS GC/LC Chromatography*	EG&G PAR CCD	Otsuka UV-Vis DAD	Thermo Scientific Xcalibur GC/LC/MS/PDA
Agilent/HP 1040 Diode Array (PAWS)	EG&G PAR OMA88	PE Sciex Analyst*	Thermo Scientific Atlas Chromatography*
Agilent/HP 1090 Diode Array (PAWS)	EG&G PAR ProcessVision	Perkin Elmer Access* Chrom*	Thermo Scientific Finnigan ChromQuest*
Agilent/HP 8452/8453 UV-Vis	EG&G Spectrum Master MAESTRO II	Perkin Elmer TurboChrom*	Thermo Scientific Finnigan Masslab*
Agilent/HP ChemStation GC/LC/ MS/PDA	Finnigan ITDS GC-MS	PerkinElmer 7000 Series FT-IR	Thermo Scientific Finnigan Xcalibur Chromatography System*
Agilent/HP IRD ChemStation GC-IR (DOS)	Finnigan Xcalibur GC/LC/MS/PDA	PerkinElmer FL DataManager	Thermo Scientific Multichrom*
Alton Instruments LS2000	Foss NIRSystems NSAS NIR	PerkinElmer FL Winlab	Thermo Scientific Nicolet Omnic IRDATA & GROUP Files*
Amersham Biosciences Unicorn Chromatography*	Foss NIRSystems Vision NIR	PerkinElmer IR AutoIMAGE	Thermo Scientific Nicolet Omnic Series Files*
Analect Instruments FT-IR	GBC Spectral UV-Vis	PerkinElmer IR DataManager FT-IR	Thermo Scientific Solaar*
Analytical Spectral Devices FieldSpec	GE Nicolet NMR	PerkinElmer Nelson 2600 Chromatography	Thermo Scientific Spectronic Helios UV-Vis*
Analytical Spectral Devices LabSpec	GE OMEGA NMR	PerkinElmer Nelson Access* Chrom	Thermo Scientific Thru-Put Target Chromatography*
Analytical Spectral Devices PSII UV-Vis	Gilson Unipoint Chromatography	PerkinElmer PCI37 SAM	Thermo Scientific SPC (GRAMS)
AnDI/netCDF Chromatography	Groton Technology PF1 PDA	PerkinElmer PEGRAMS 1000/1600/2000	Thermo Scientific ICON FT-IR
AnDI/netCDF FT-IR	Groton Technology SoloNET PDA	PerkinElmer PIONIR NIR	Thermo Scientific WinFIRST FT-IR
AnDI/netCDF Mass Spectrometry	Guided Wave NIR	PerkinElmer Spectrum IR	Thermo Scientific OMNIC Atlas FT-IR
Ando Instruments AQ 6312B	Hamilton Sundstrand AnaGRAMS	PerkinElmer TravelIR FTIR	Thermo Scientific OMNIC FT-IR
Applied Automation Optichrom Advance	Hamilton Sundstrand Analect FT-IR	PerkinElmer Turbochrom (up to V3.3)	Thermo Scientific OMNIC Series FT-IR
Applied Biosystems/MDS Sciex Analyst	Hamilton Sundstrand PIONIR FT-IR	PerkinElmer TurboMass GC/LC/MS	Thermo Scientific PC-IR FT-IR
ASCIi XY - Constant X Spacing	Hitachi D7000 HSM Chromatography	PerkinElmer UV DataManager	Thermo Scientific RESULT FT-IR
ASCIi XY - High Precision X	Hitachi R-1200/1100 NMR	PerkinElmer UV Winlab	Thermo Scientific SX/DX FT-IR
ASCIi XY - Variable X Spacing	Hitachi R-1500 NMR	Perceptive Biosystems Voyager TOF-MS	Thermo Scientific InstaSpec
ASCIi Y-only (Single column)	Hitachi-GRAMS 3400 UV-Vis	Perten GRAMS	Thermo Scientific RUNNES II
ASI ReactIR	Horiba FT-IR	Perten PerCon NIR	Thermo Scientific Aminco-Bowman 2
AVIV UV-Vis/CD	IBM 9420 UV-Vis	Pharmacia LKB GelscanXL	Thermo Scientific SLM 3000 UV-Vis
Beckman - All UV-Vis/Fluorescence	IBM IR30 FT-IR	Phillips IR/40 FT-IR	Thermo Scientific SLM Universal UV & FL
Beckman 32 Karat Chromatography*	Interspectrum/Spectrolab PFS2000	Polymer Laboratories Cirrus GPC*	Tracor Northem TN-6500
Beckman DU60 UV-Vis (ASCIi)	Jasco J-600 CD	Polymer Labs Cirrus GPC/SEC	Tracor Northem TN-6600
Beckman FT1000/2000 FT-IR	Jasco J-700 CD	Prolab Resources/Teknivent GC-MS V1	Varian Cary UV-Vis (OS/2)
Beckman Gold Chromatography	Jasco Spectrum Manager IR/UV/FL/Raman/CD	Radiomatic FLO-1	Varian Cary WinUV*
Bio-Rad BioDimensions PDA	J-CAMP DX (V4.24)	Renishaw WiRE Raman	Varian Cary-GRAMS UV-Vis
Bio-Rad Digilab 3200 FT-IR	JEOL Delta NMR (Generic format)	Roper Scientific Princeton Instruments CCD	Varian Galaxie*
Bio-Rad Digilab IMX FT-IR	JEOL GX/EX NMR	Scientific Software, Inc. EZ Chrom Elite™Chromatography*	Varian Saturn 3 GC-MS
Bio-Rad Sadtler	JEOL WinSpec FT-IR	SensIR - All FT-IRs	Varian Saturn 5 GC-MS
Bio-Tek Instruments Kroma System 3000*	JMBS Diamir*	Shimadzu Class-VP*	Varian Star GC Chromatography
Brimrose NIR	JY Horiba DataMax	Shimadzu Hyper-IR	Varian VNMR
Bruins Instruments Omega Series UV-Vis/NIR	JY Horiba ISA PRISM Raman	Shimadzu Hyper-UV	Varian XL/XR/Gemini NMR
Brucker AMX/CPX Series NMR	JY Horiba ISA SpectraMax Raman (DOS)	Shimadzu QP5000 GC-MS	Varian/Chemagnetics SpinSight NMR
	JY Horiba SpectraMax (Windows)	Shimadzu UV160/265	
	JY Horiba Spex Raman	Shimadzu DUEPA/G/DUEPA	











# Allows you to view actionable raw data directly from the sample

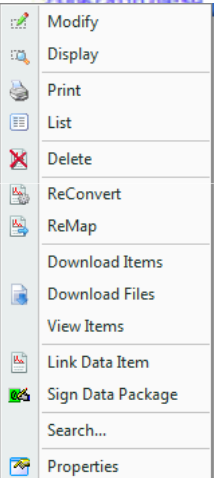

Explorer	Sample Status	Sample Browse	Explorer Folder Browse
ID Numeric	ID Text	Status	Login date
 1	SM-23-JUN-2010-000001	Available	23/06/2010 08:59
 2	SM-23-JUN-2010-000002	Available	23/06/2010 08:59
 3	SM-23-JUN-2010-000003	Available	23/06/2010 08:59
 4	SM-23-JUN-2010-000004	Available	23/06/2010 08:59
 5	SM-23-JUN-2010-000005	Available	23/06/2010 08:59
 6	SM-23-JUN-2010-000006	Completed	23/06/2010 08:59
 7	SM-23-JUN-2010-000007	Completed	23/06/2010 08:59
 8	SM-23-JUN-2010-000008	Completed	23/06/2010 08:59
 9	SM-23-JUN-2010-000009	Completed	23/06/2010 08:59
 10	SM-23-JUN-2010-000010	Completed	23/06/2010 08:59

# Allows you to view actionable raw data directly from the sample

Explorer	Sample Status	Sample Browse	Explorer Folder Browse
ID Numeric	ID Text	Status	Login date
 1	SM-23-JUN-2010-000001	Available	23/06/2010 08:59
 2	SM-23-JUN-2010-000002	Available	23/06/2010 08:59
 3	SM-23-JUN-2010-000003	Available	23/06/2010 08:59
 4	SM-23-JUN-2010-000004	Available	23/06/2010 08:59
 5	SM-23-JUN-2010-000005	Available	23/06/2010 08:59
 6	SM-23-JUN-2010-000006	Completed	23/06/2010 08:59
 7	SM-23-JUN-2010-000007	Completed	23/06/2010 08:59
 8	SM-23-JUN-2010-000008	Completed	23/06/2010 08:59
 9	SM-23-JUN-2010-000009	Completed	23/06/2010 08:59
 10	SM-23-JUN-2010-000010	Completed	23/06/2010 08:59

# Allows you to view actionable raw data directly from the sample

Explorer	Sample Status	Sample Browse	Explorer Folder Browse
ID Numeric	ID Text	Status	Login date
 1	SM-23-JUN-2010-000001	Available	23/06/2010 08:59
 2	SM-23-JUN-2010-000002	Available	23/06/2010 08:59
 3	SM-23-JUN-2010-000003	Available	23/06/2010 08:59
 4	SM-23-JUN-2010-000004	Available	23/06/2010 08:59
 5	SM-23-JUN-2010-000005	Available	23/06/2010 08:59
 6	SM-23-JUN-2010-000006	Completed	23/06/2010 08:59
 7	SM-23-JUN-2010-000007	Completed	23/06/2010 08:59
 8	SM-23-JUN-2010-000008	Completed	23/06/2010 08:59
 9	SM-23-JUN-2010-000009	Completed	23/06/2010 08:59
 10	SM-23-JUN-2010-000010	Completed	23/06/2010 08:59



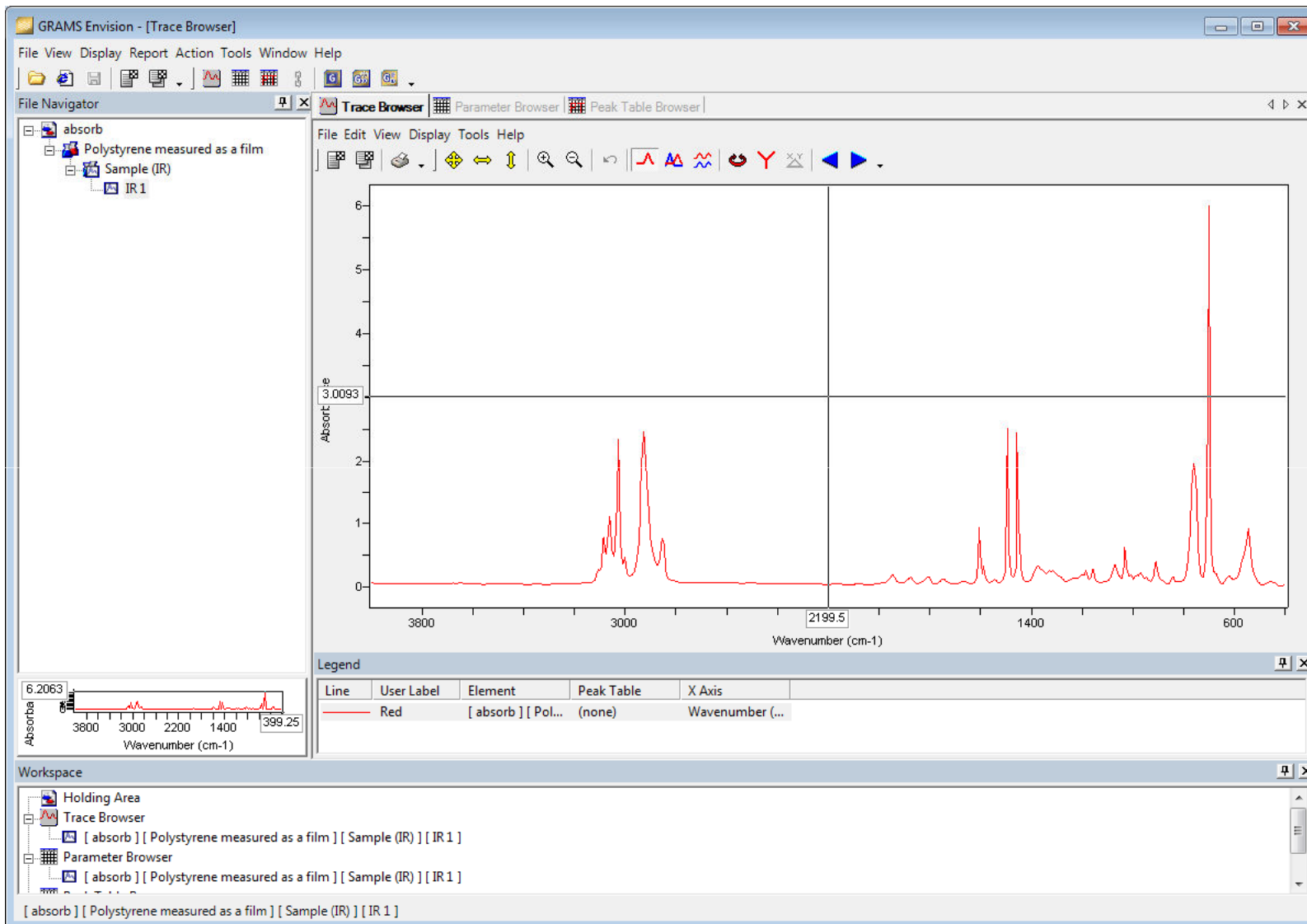
# Allows you to view actionable raw data directly from the sample

The screenshot shows a software interface with a table of sample data. The table has four columns: ID Numeric, ID Text, Status, and Login date. The rows are numbered 1 to 10. The first five rows have a status of 'Available' and the last five rows have a status of 'Completed'. A context menu is open over the 'Completed' status column, with the 'View Items' option highlighted. A blue arrow points from the 'Completed' status column to the 'View Items' option in the context menu.

ID Numeric	ID Text	Status	Login date
1	SM-23-JUN-2010-000001	Available	23/06/2010 08:59
2	SM-23-JUN-2010-000002	Available	23/06/2010 08:59
3	SM-23-JUN-2010-000003	Available	23/06/2010 08:59
4	SM-23-JUN-2010-000004	Available	23/06/2010 08:59
5	SM-23-JUN-2010-000005	Available	23/06/2010 08:59
6	SM-23-JUN-2010-000006	Completed	23/06/2010 08:59
7	SM-23-JUN-2010-000007	Completed	23/06/2010 08:59
8	SM-23-JUN-2010-000008	Completed	23/06/2010 08:59
9	SM-23-JUN-2010-000009	Completed	23/06/2010 08:59
10	SM-23-JUN-2010-000010	Completed	23/06/2010 08:59

- Modify
- Display
- Print
- List
- Delete
- ReConvert
- ReMap
- Download Items
- Download Files
- View Items**
- Link Data Item
- Sign Data Package
- Search...
- Properties

Allows you to view actionable raw data directly from the sample



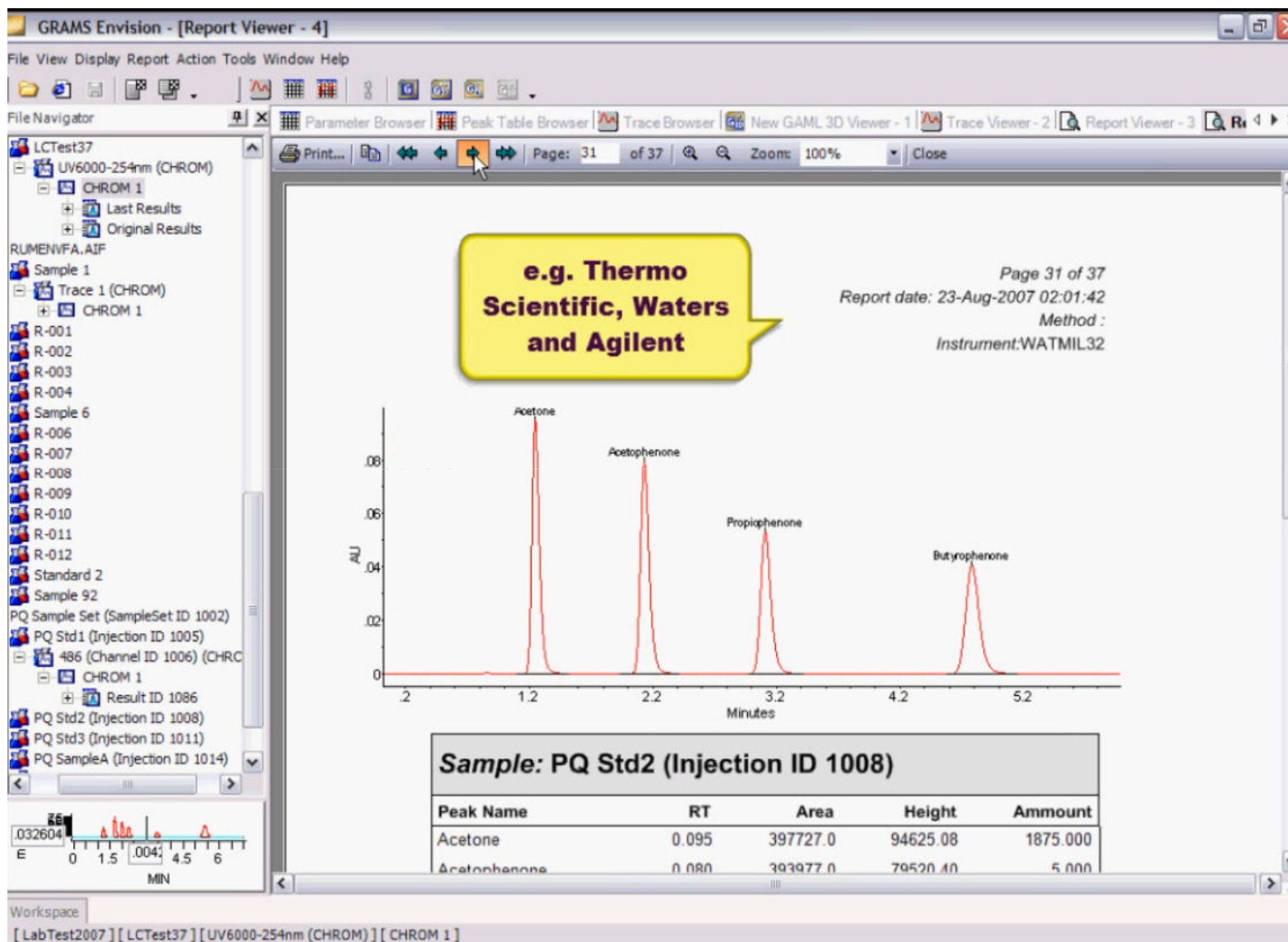
And also allows cross vendor reporting ...

The screenshot displays the GRAMS Envision software interface. The main window shows a chromatogram with several peaks labeled: solvent, peak 2 of 9, Propanoic acid, N Butanoic, Iso Caproic, N Valeric, I Butanoic, and I Valeric. The y-axis is labeled 'Millivolts' and the x-axis is 'Minutes (min)'. A yellow callout box points to the chromatogram with the text 'e.g. Thermo Scientific, Waters and Agilent'. In the top right corner, the report header includes 'Page 1 of 37', 'Report date: 23-Aug-2007 02:01:42', 'Method: RUMEN', and 'Instrument: multichrom'. Below the chromatogram, a table titled 'Sample: Sample 1' provides peak data.

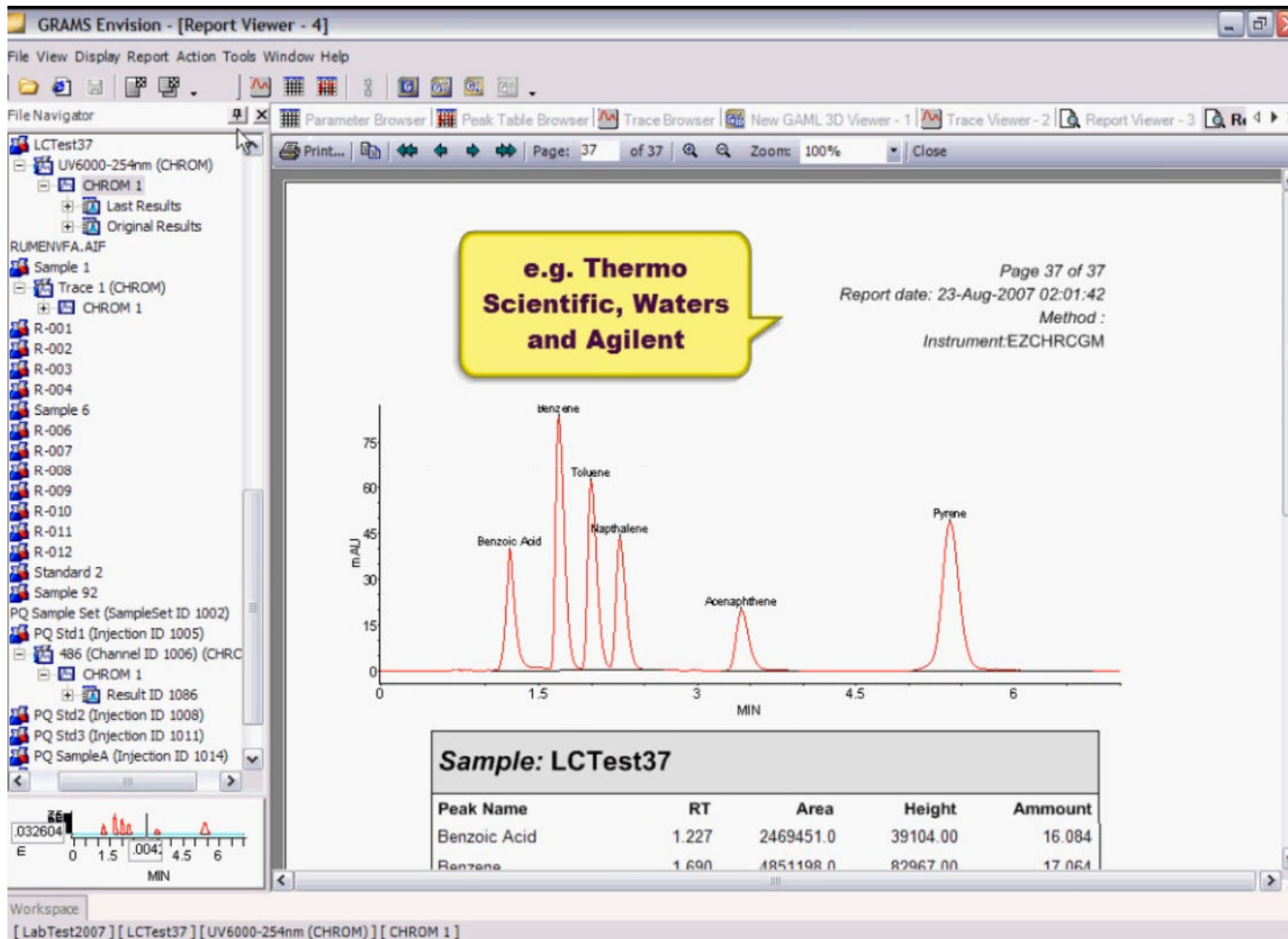
Peak Name	RT	Area	Height	Amount
solvent	29.343	31507.6	28776.60	-18.834
	202.199	803011.2	197339.41	-1.152



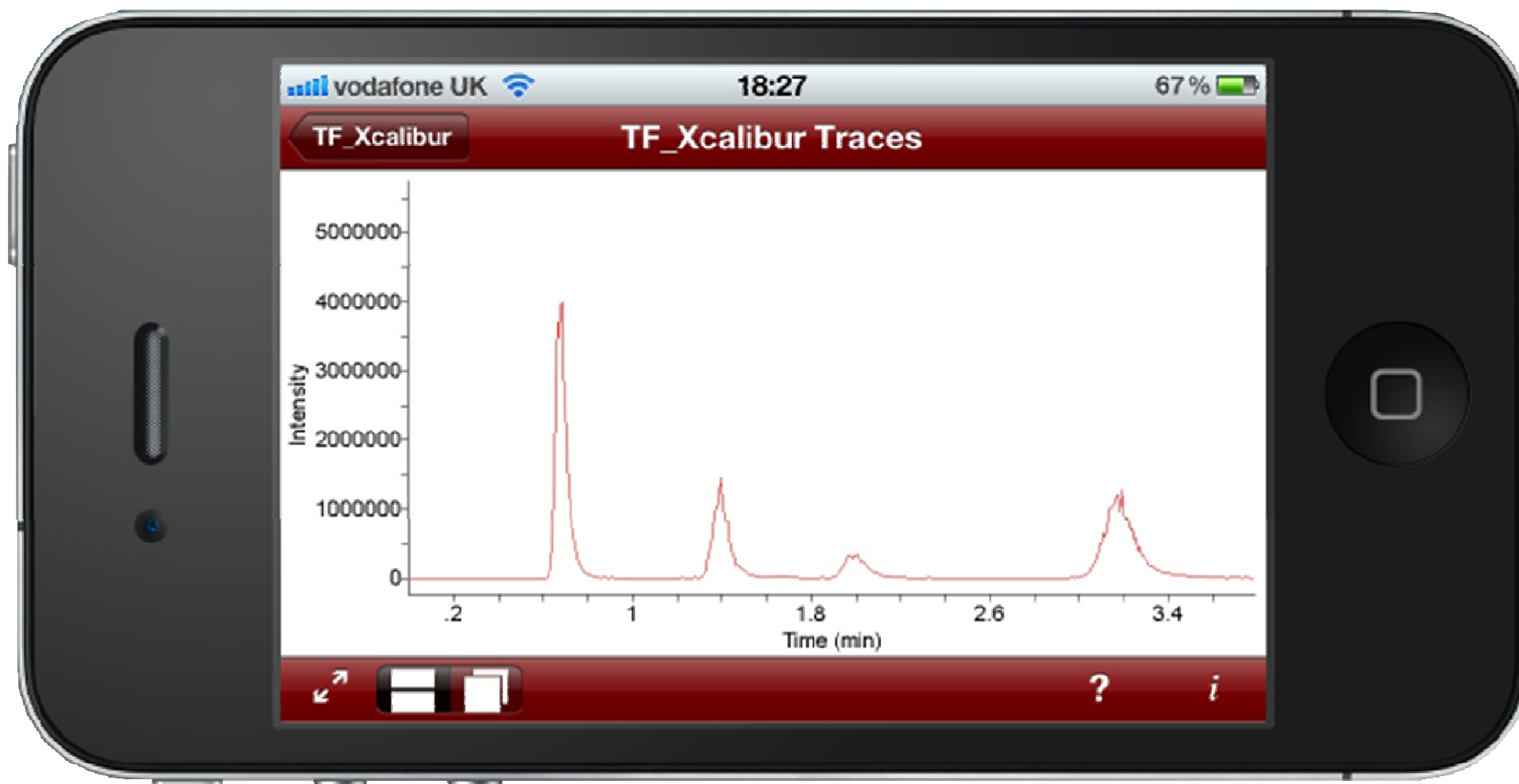
And also allows cross vendor reporting ...



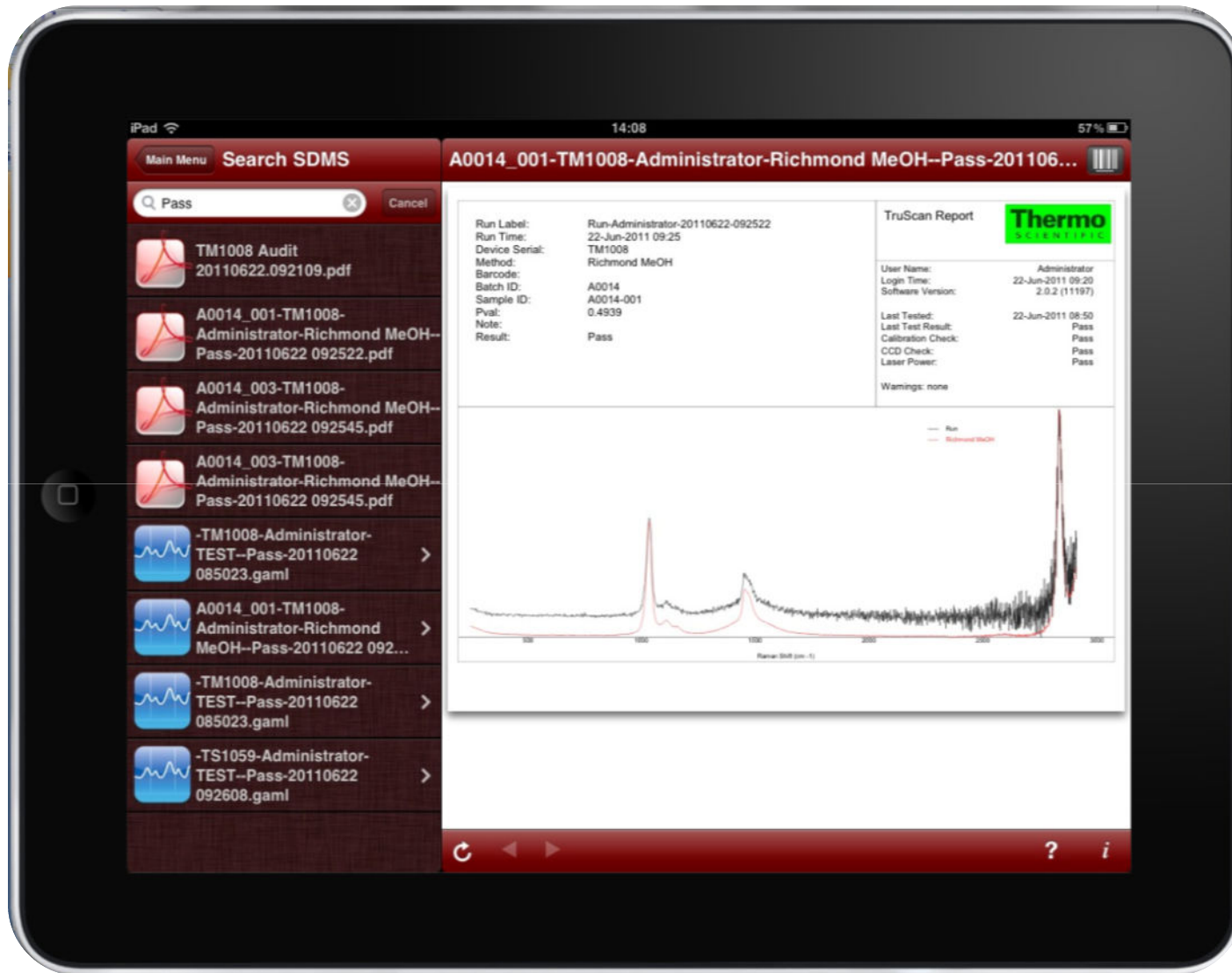
And also allows cross vendor reporting ...

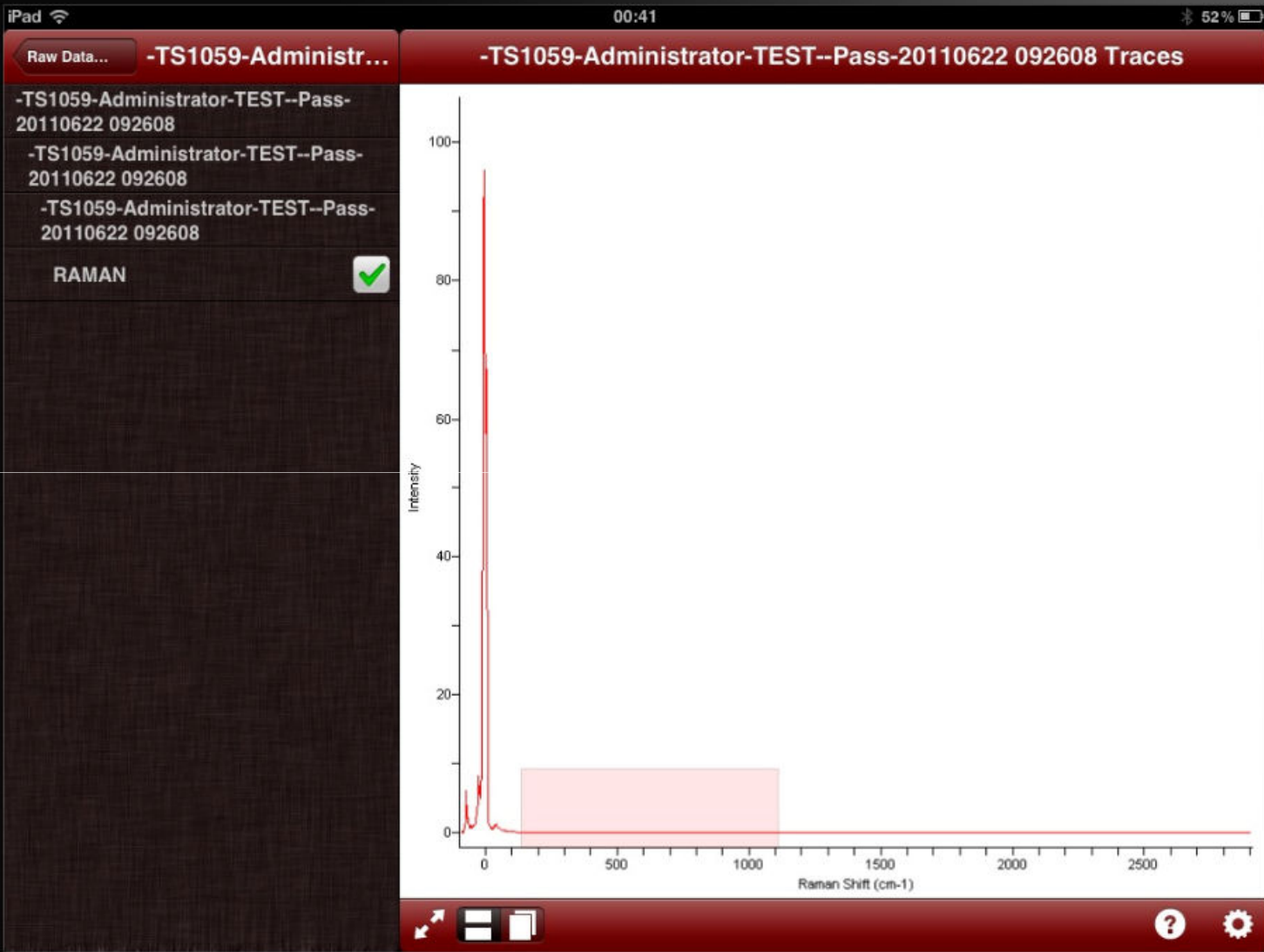


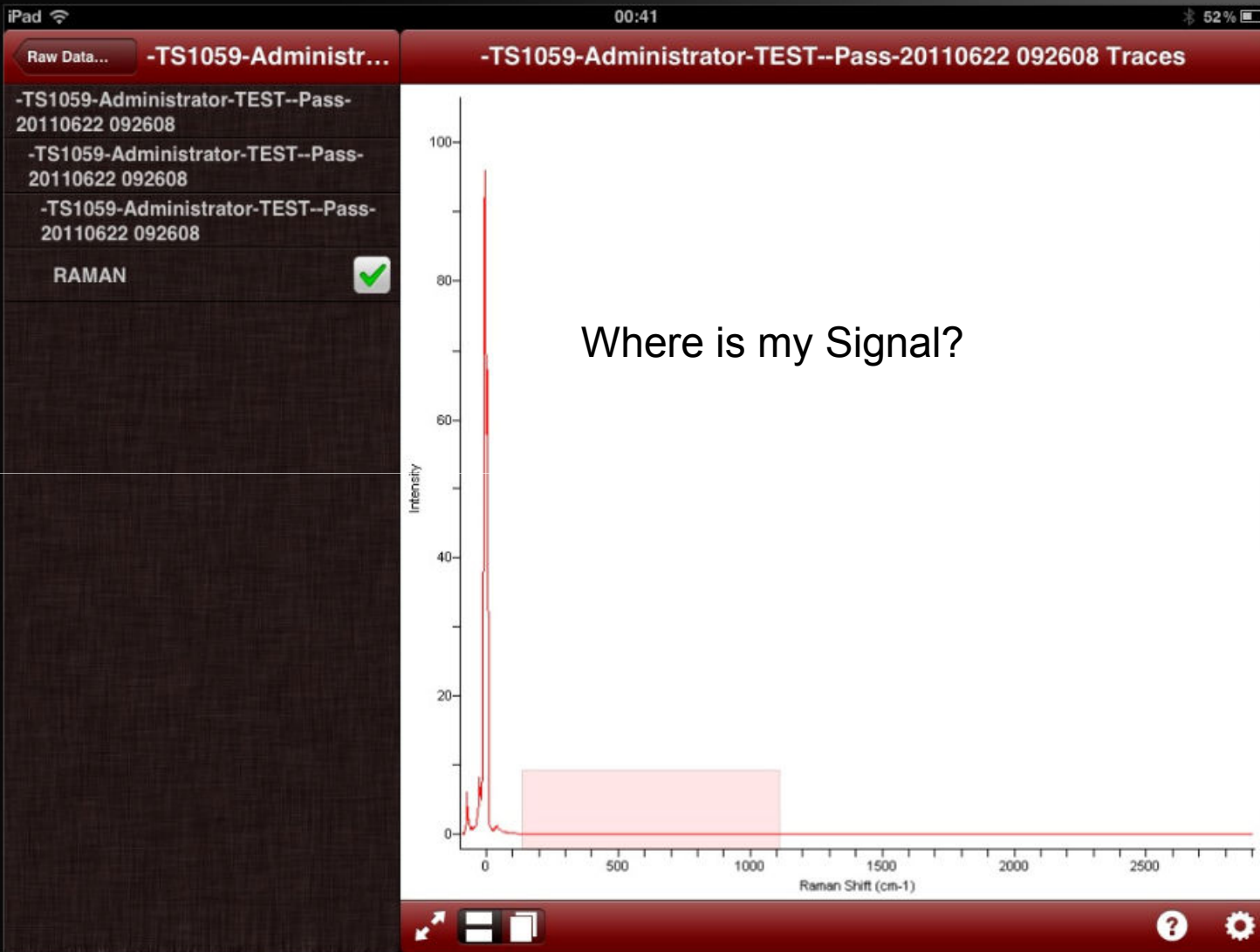
# Mobile Data Access

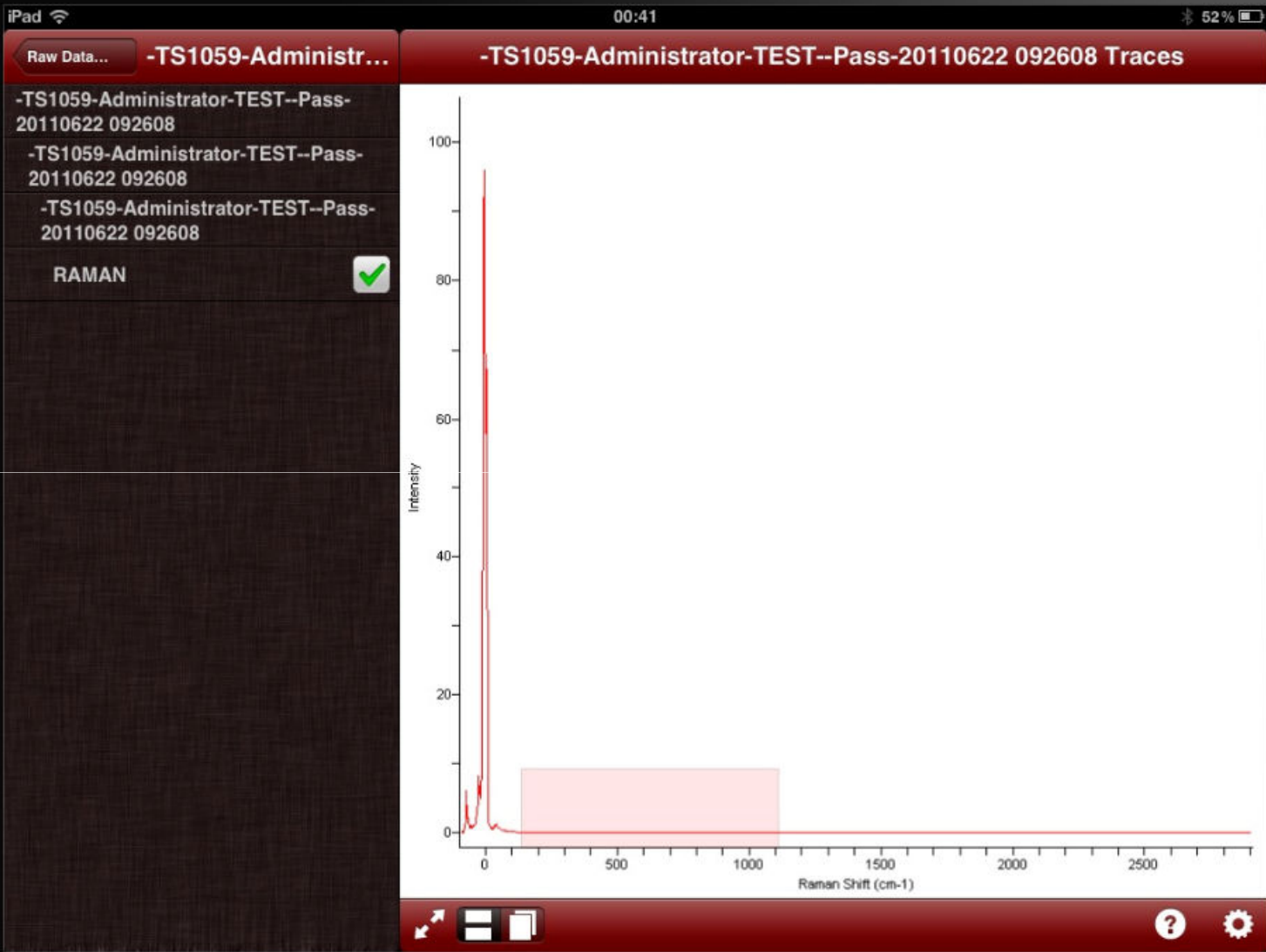


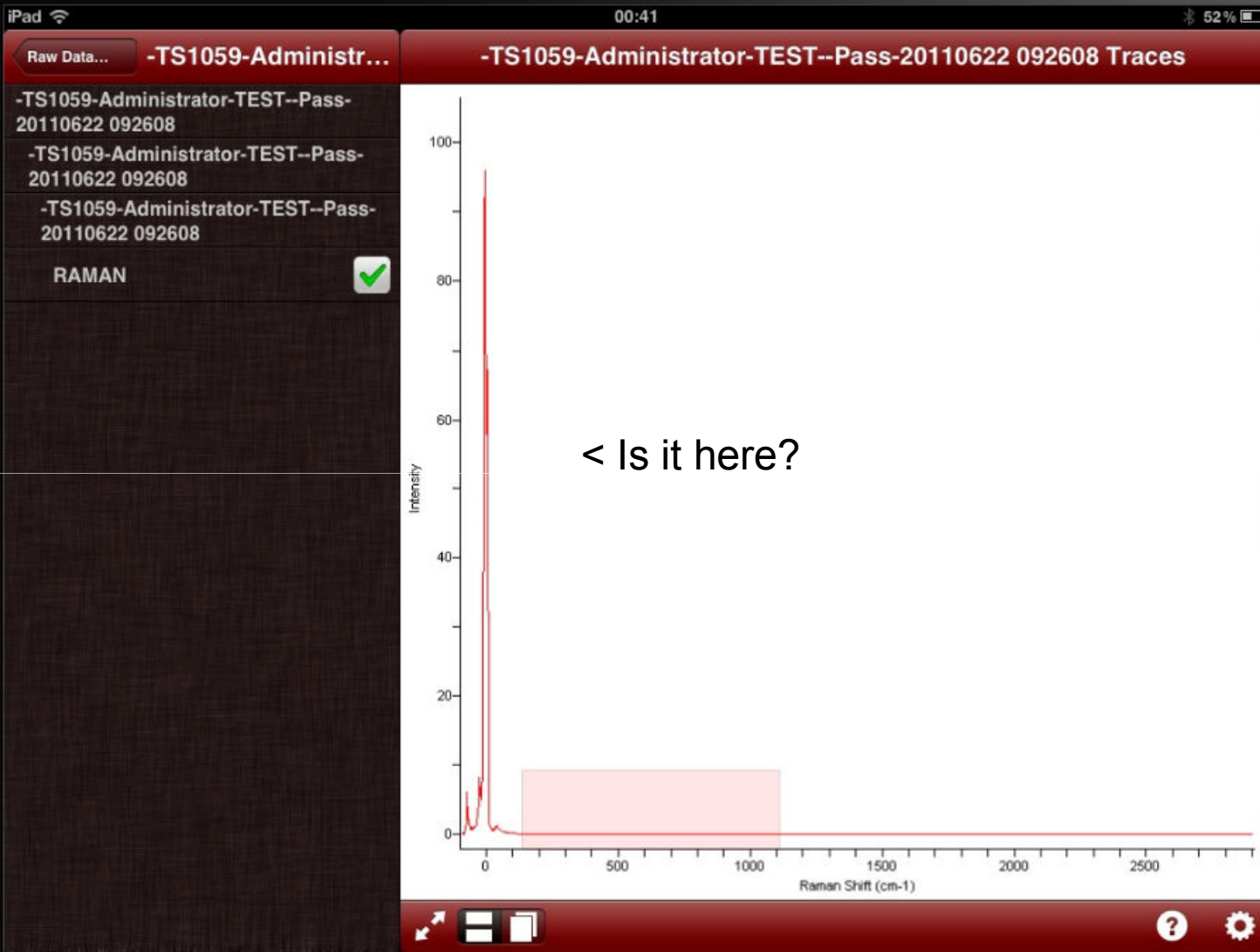
..Search..



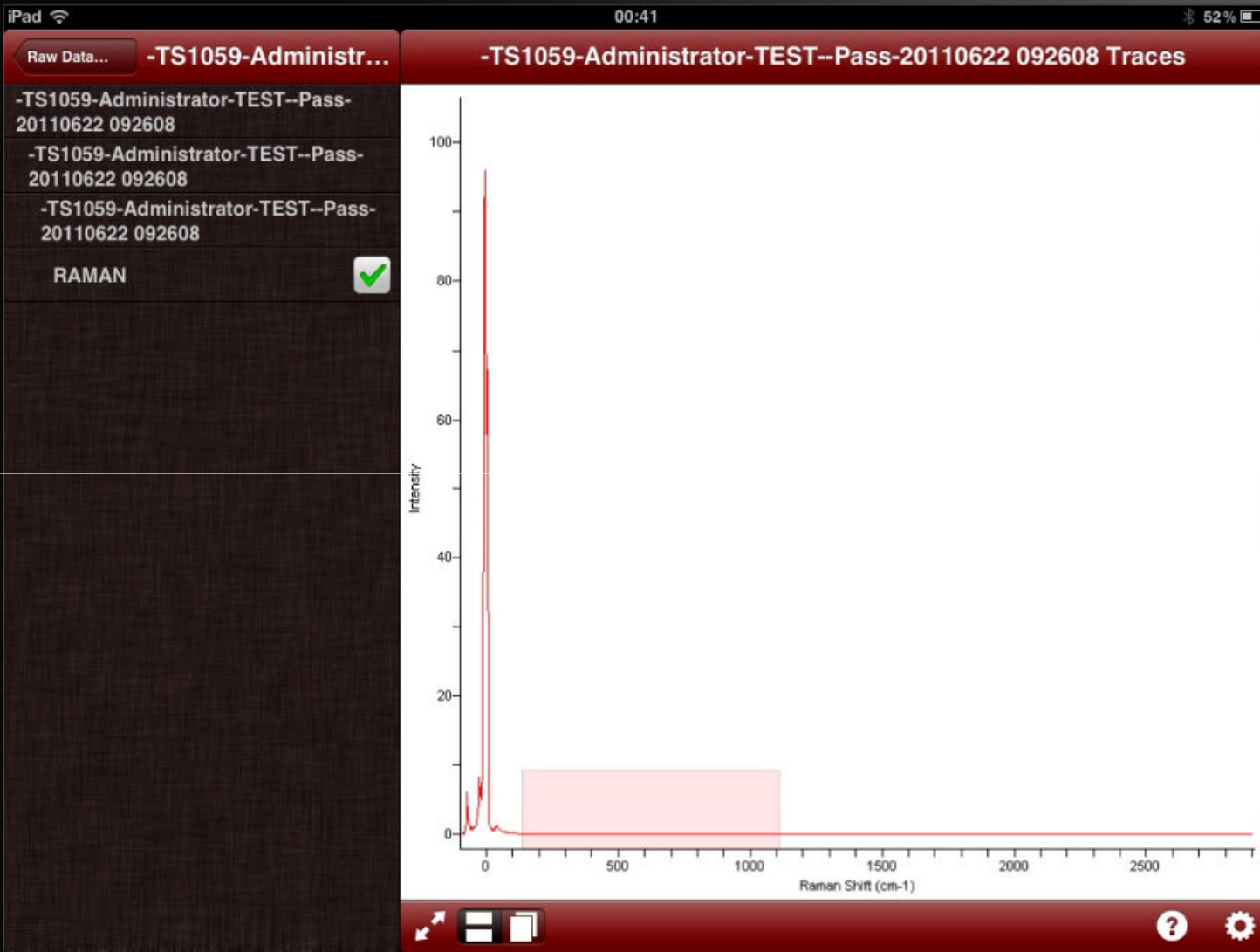


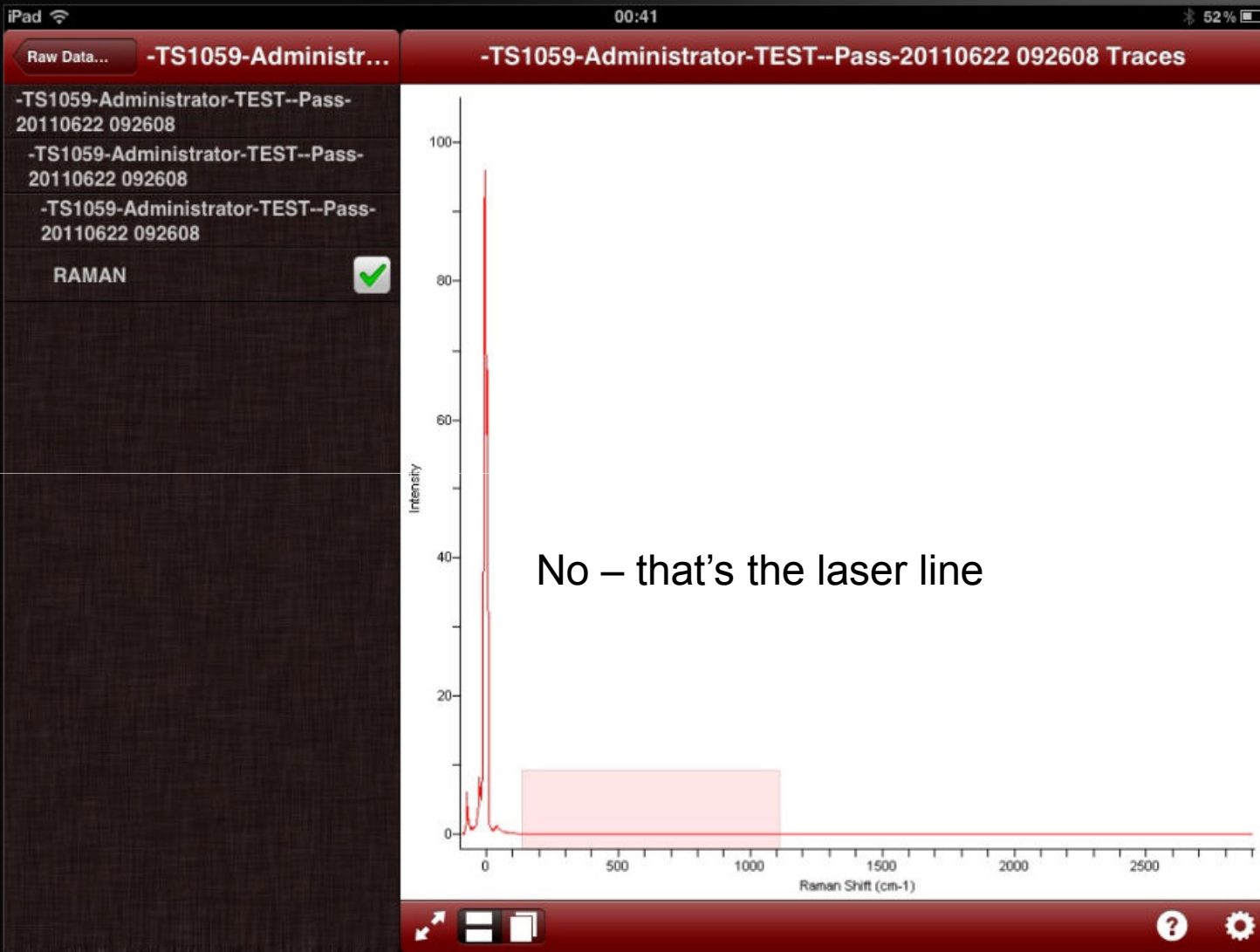


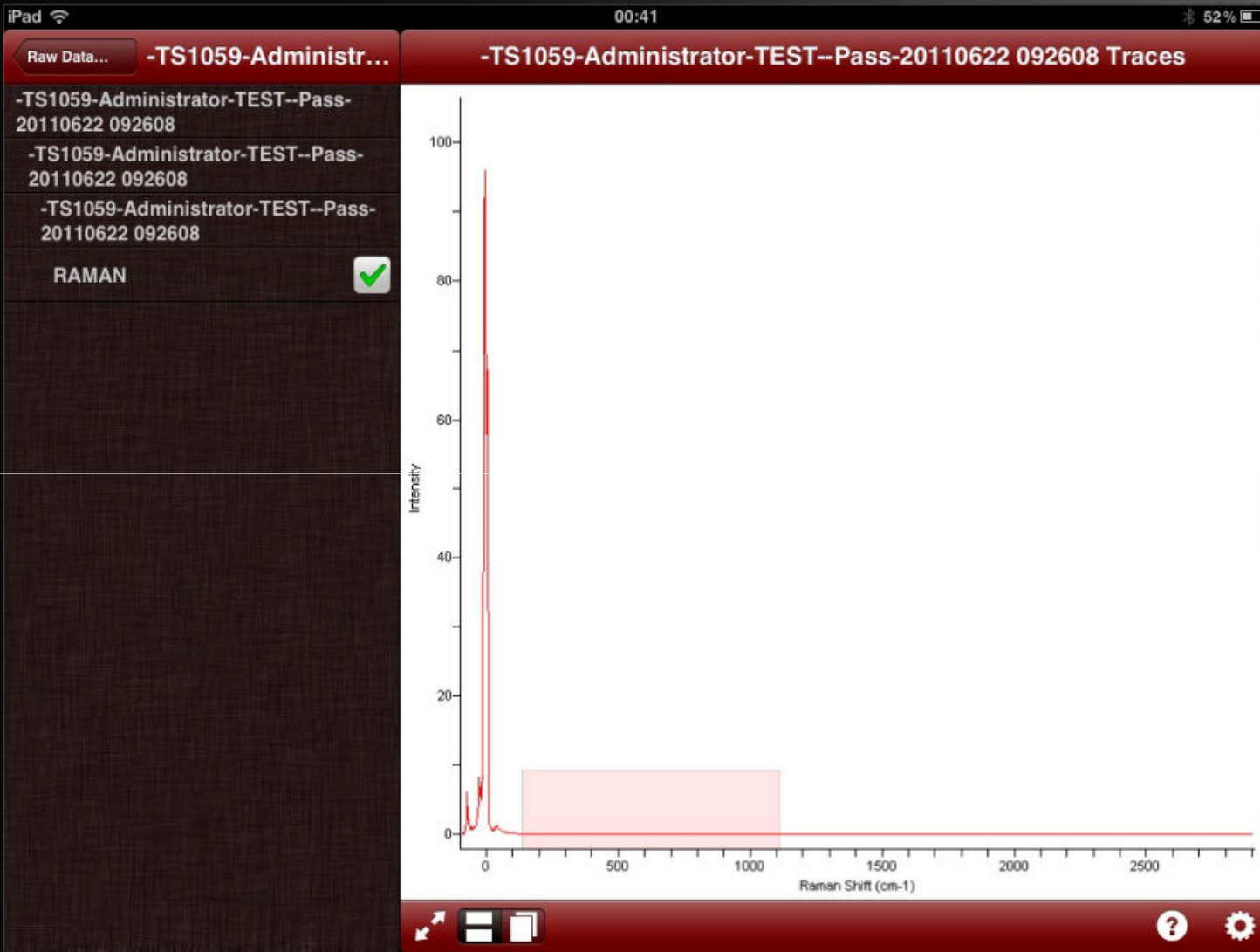


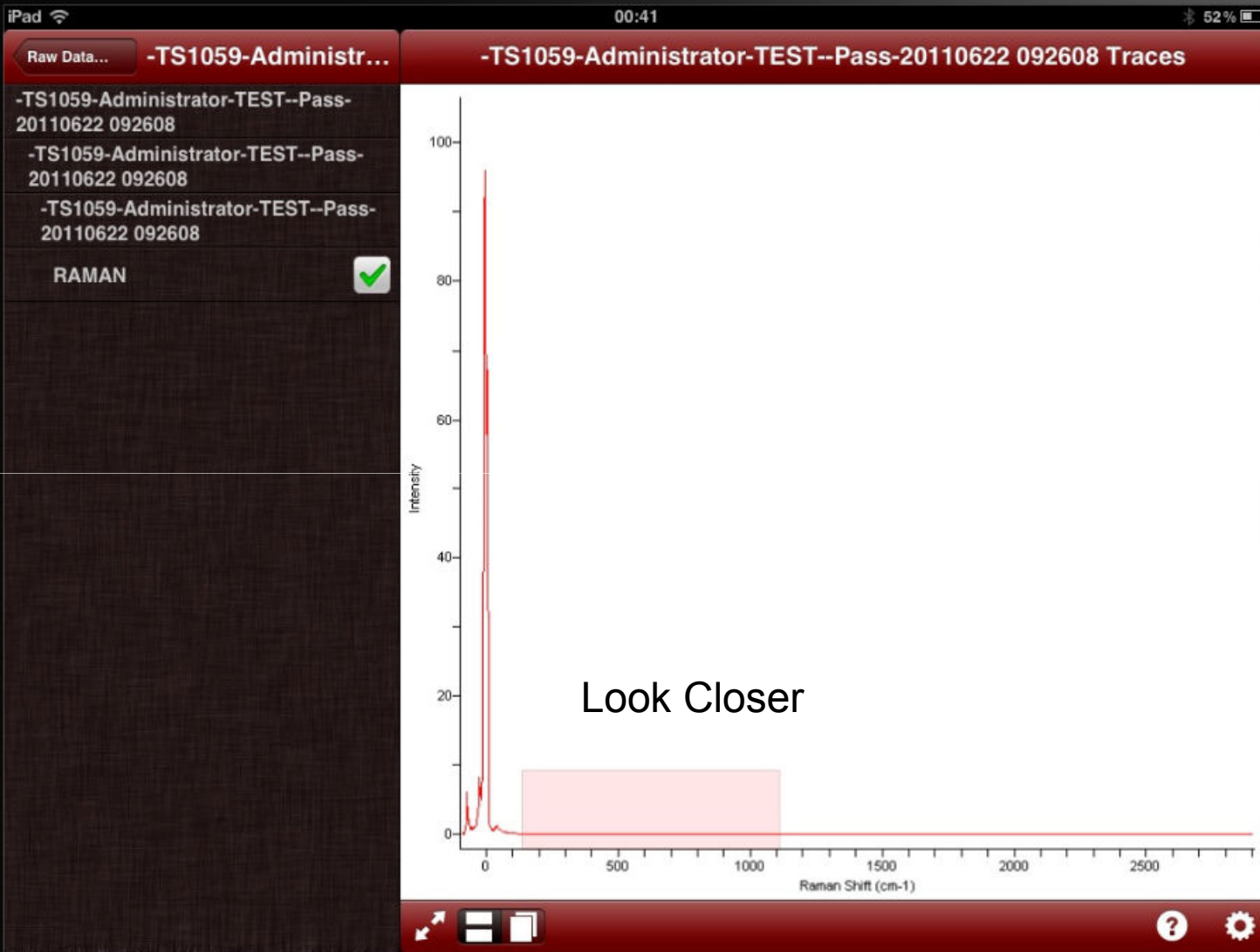


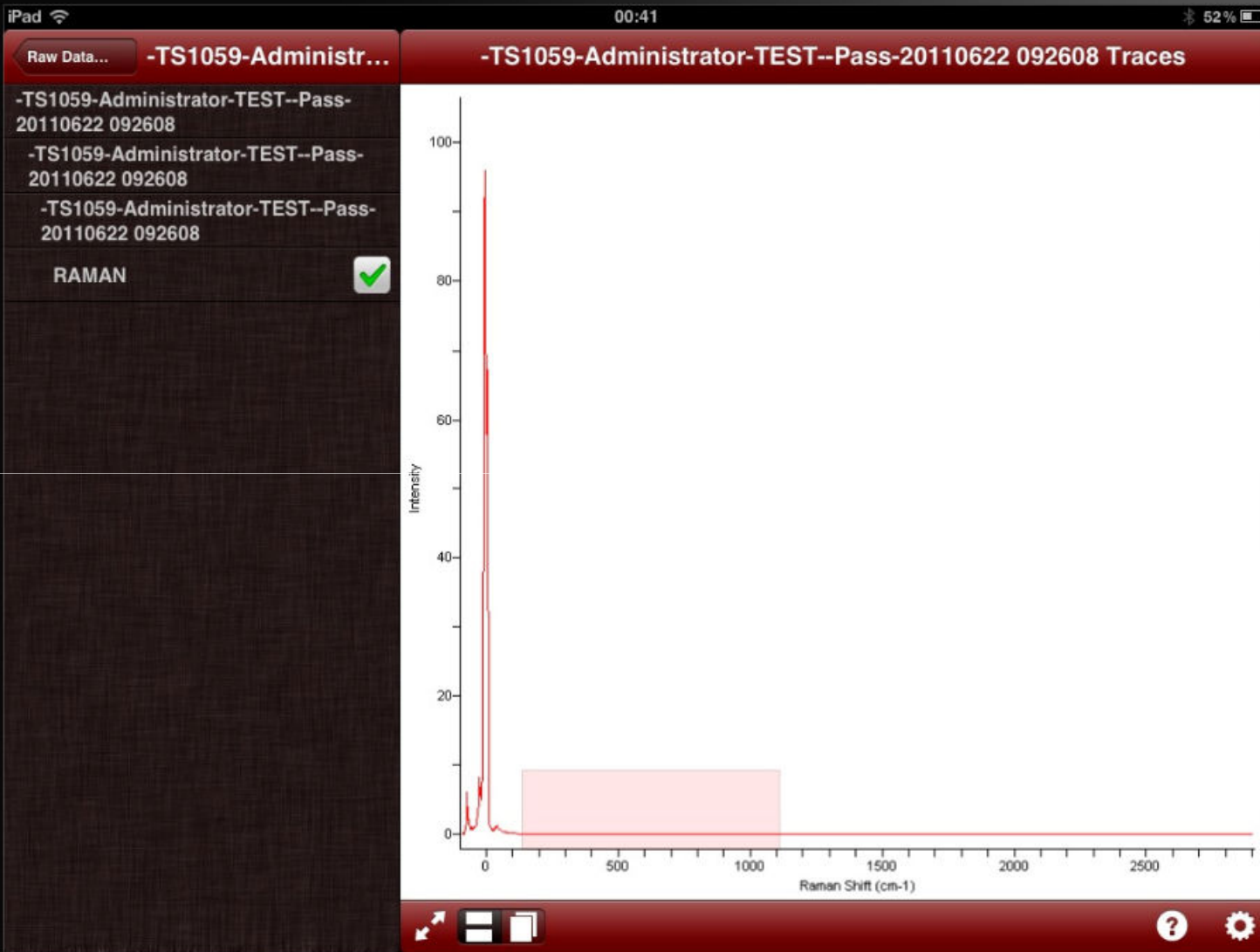












iPad

00:43

51%

Raw Data... -TS1059-Administr...

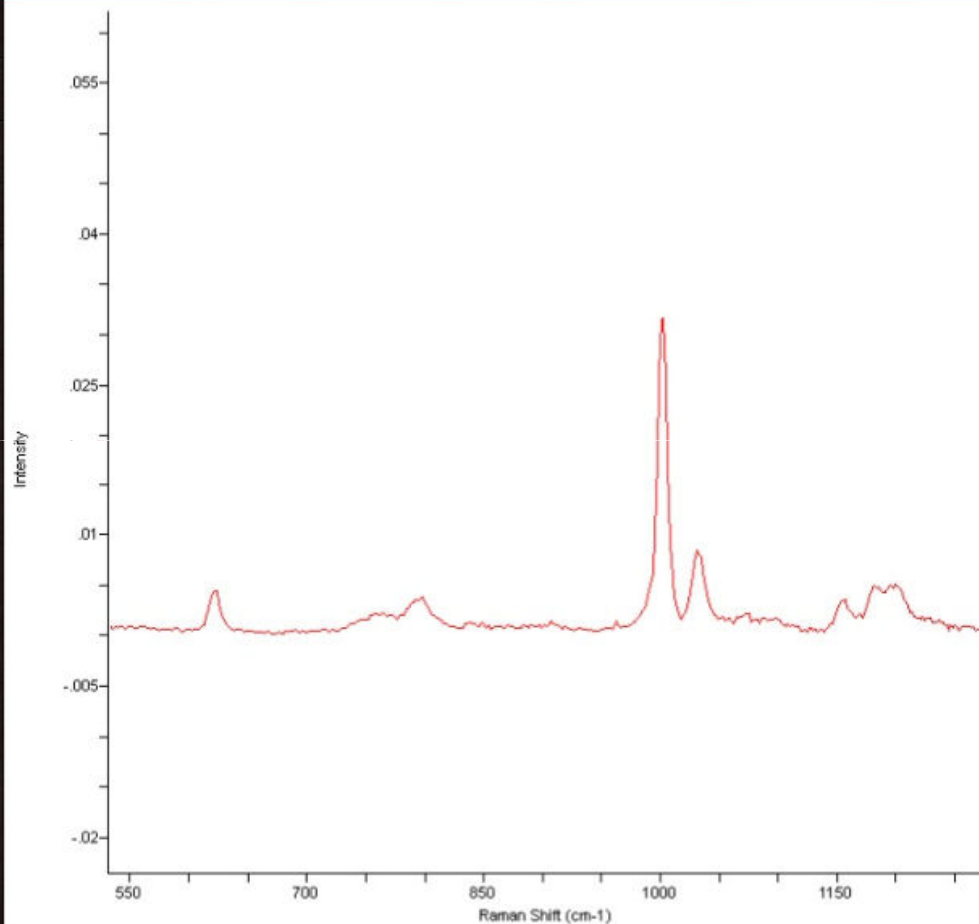
-TS1059-Administrator-TEST--Pass-20110622 092608 Traces

-TS1059-Administrator-TEST--Pass-20110622 092608

-TS1059-Administrator-TEST--Pass-20110622 092608

-TS1059-Administrator-TEST--Pass-20110622 092608

RAMAN



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Raw Data... -TS1059-Administr...

-TS1059-Administrator-TEST--Pass-20110622 092608 Traces

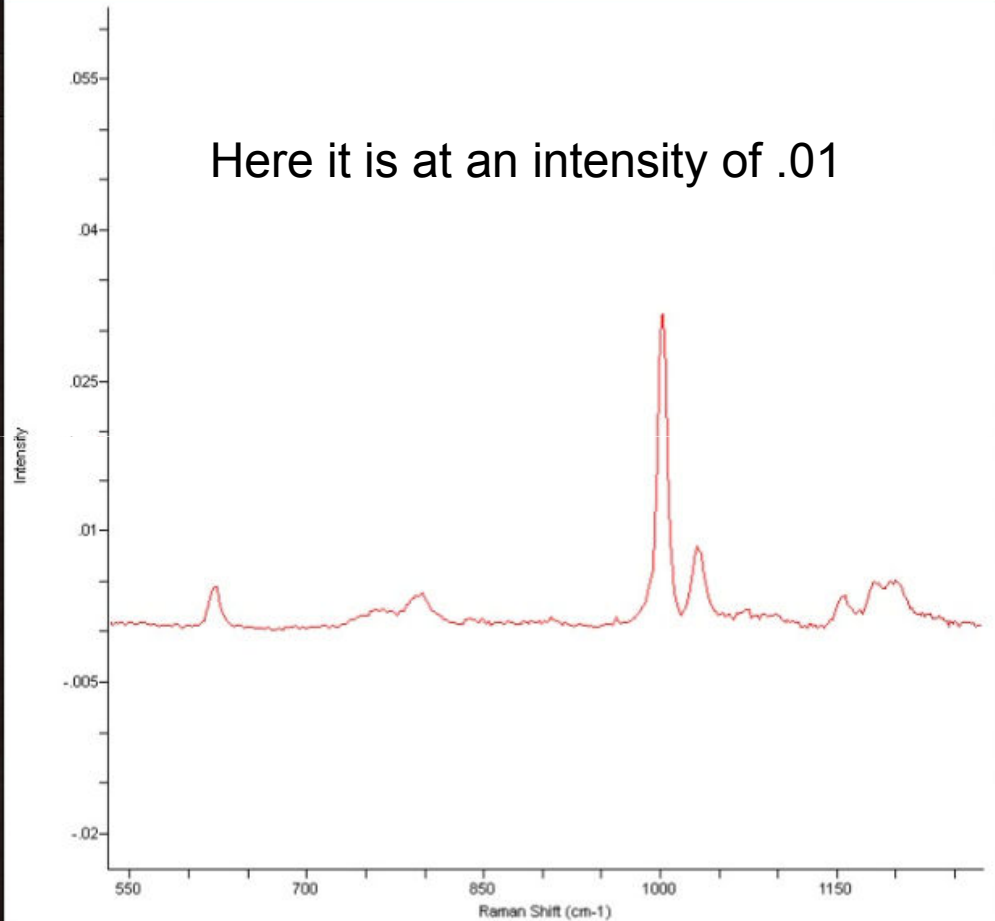
-TS1059-Administrator-TEST--Pass-20110622 092608

-TS1059-Administrator-TEST--Pass-20110622 092608

-TS1059-Administrator-TEST--Pass-20110622 092608

RAMAN

Here it is at an intensity of .01



Navigation icons: back, home, search, and settings.

iPad

00:43

51%

Raw Data... -TS1059-Administr...

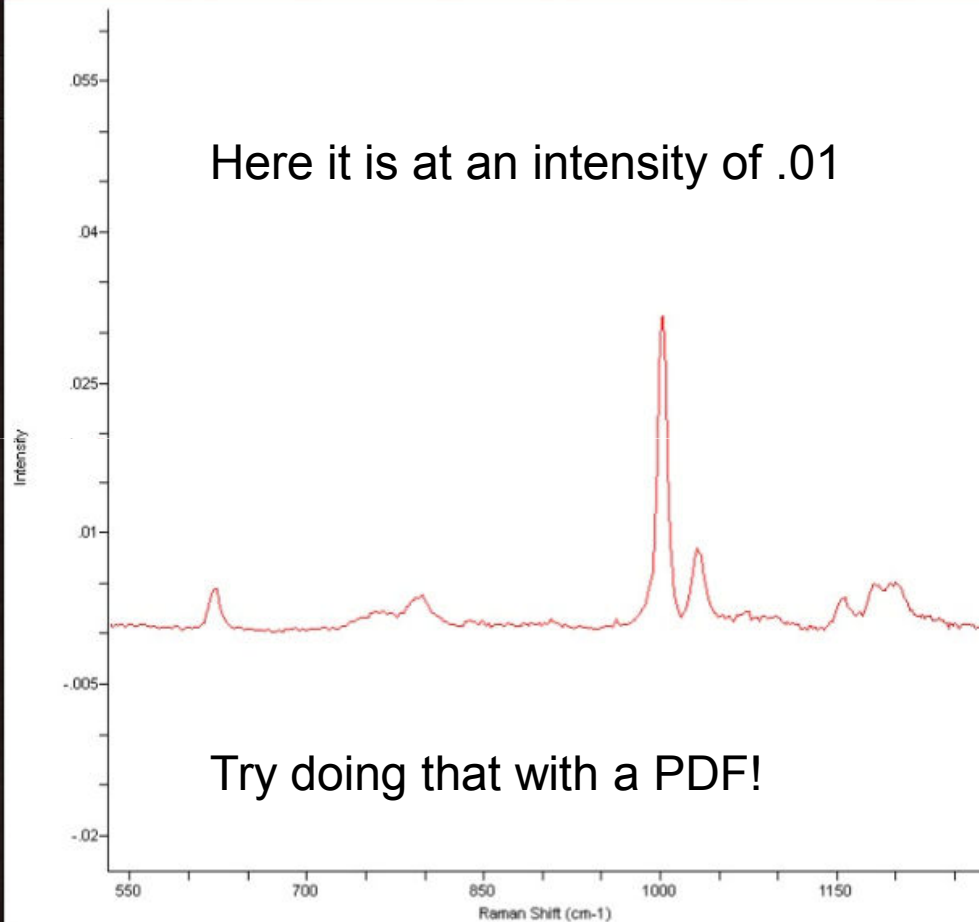
-TS1059-Administrator-TEST--Pass-20110622 092608 Traces

-TS1059-Administrator-TEST--Pass-20110622 092608

-TS1059-Administrator-TEST--Pass-20110622 092608

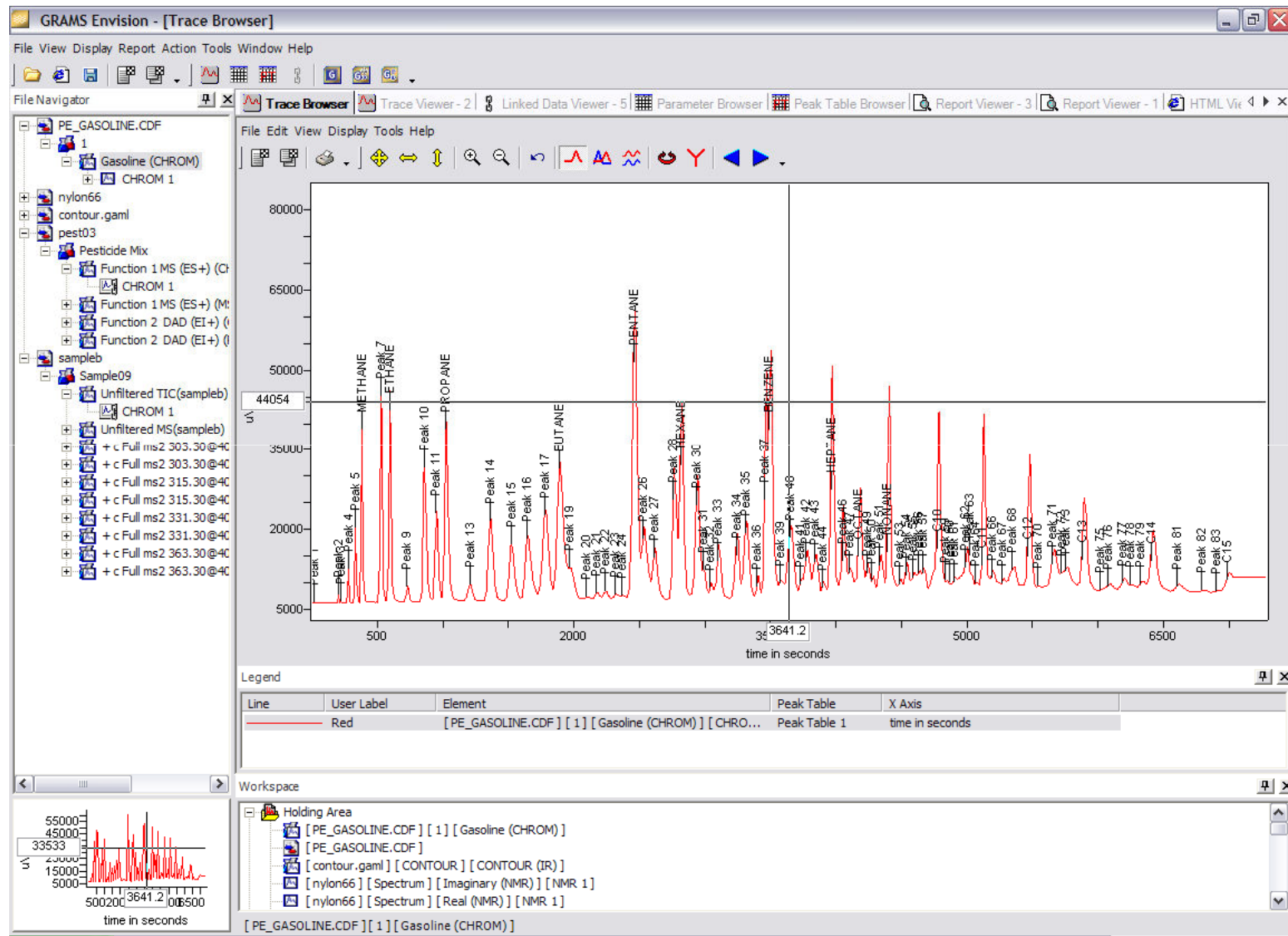
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RAMAN

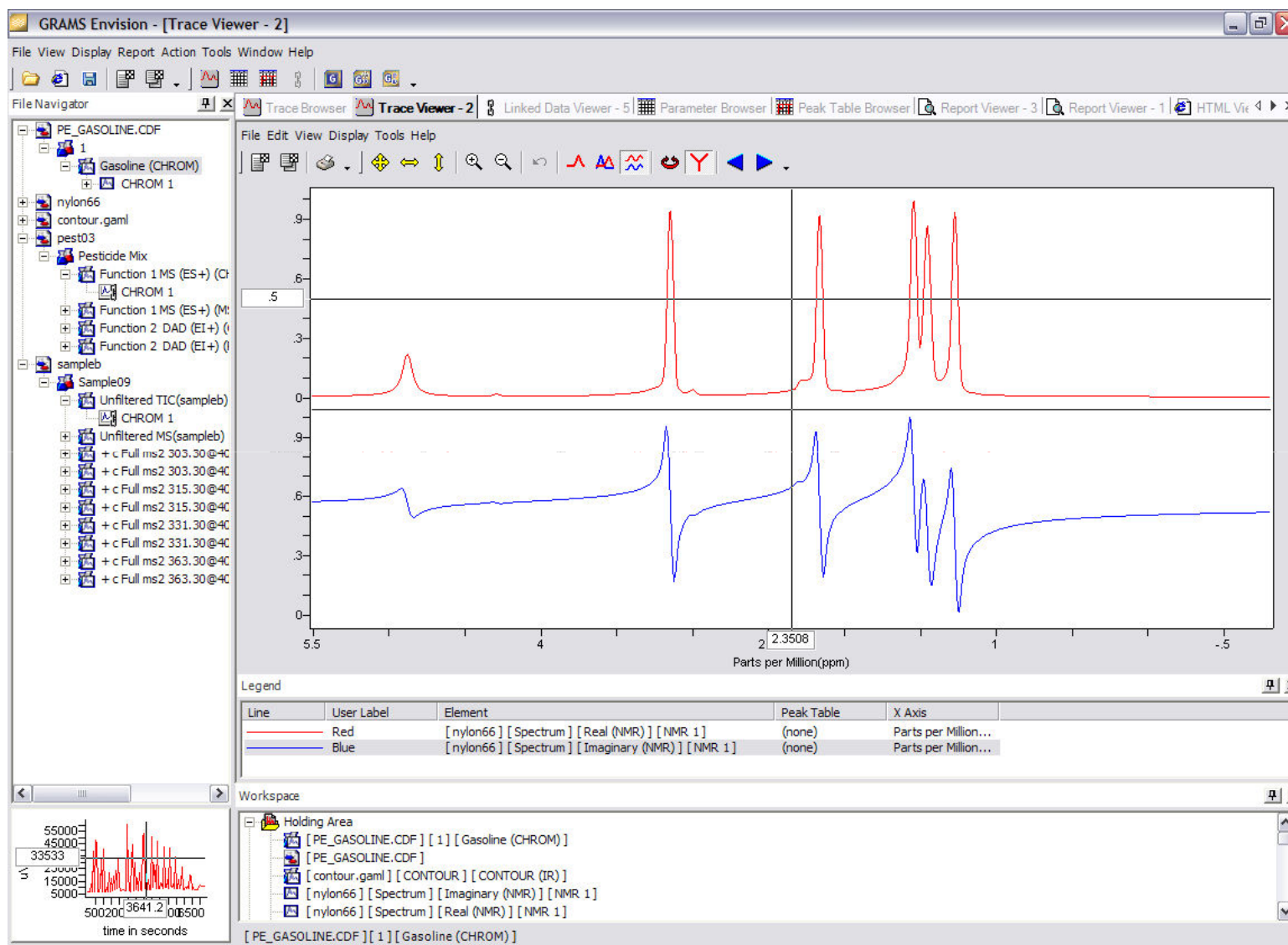




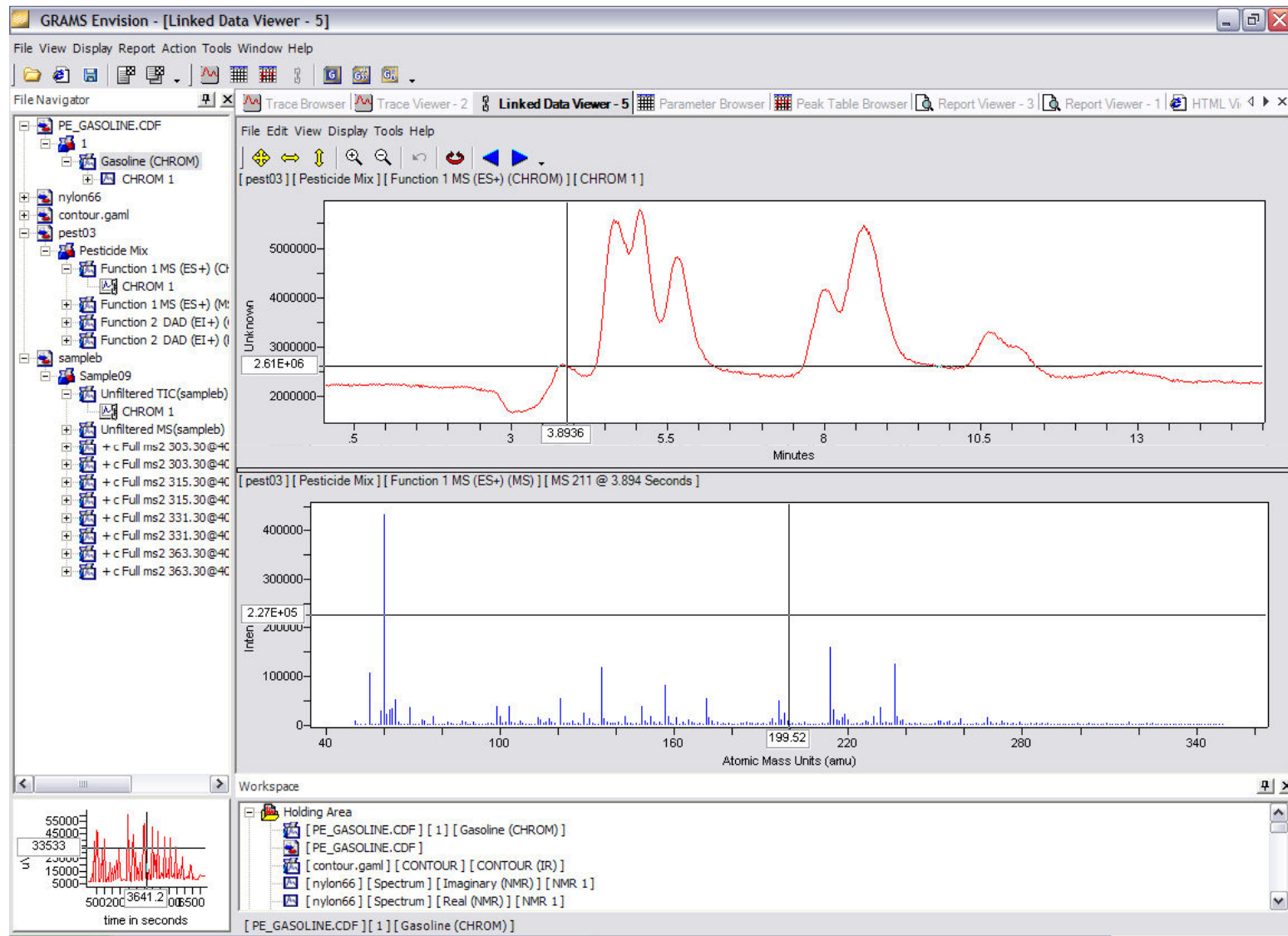
# ..and Desktop Viewing of real raw data.



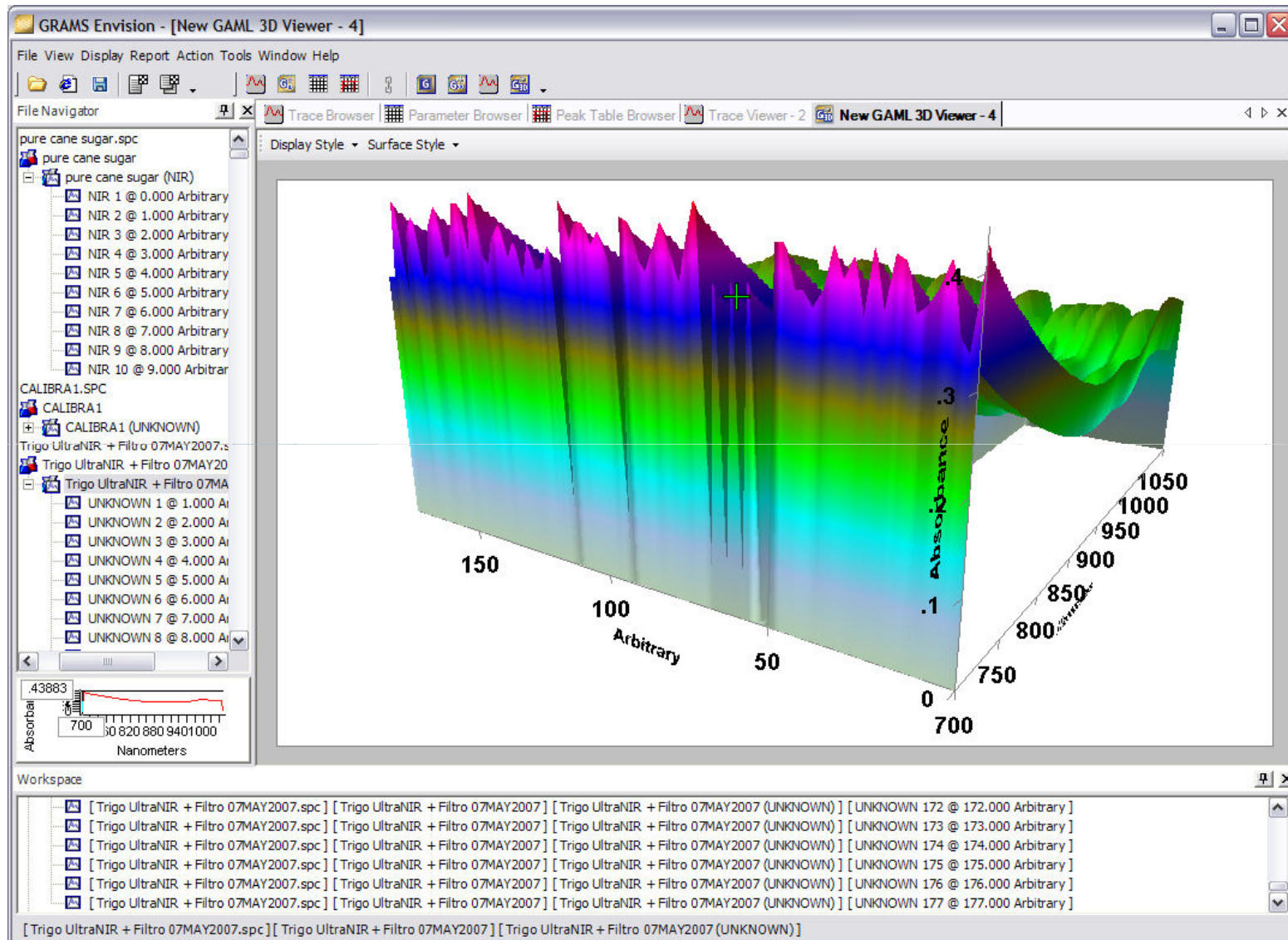
# ..and Desktop Viewing of real raw data.



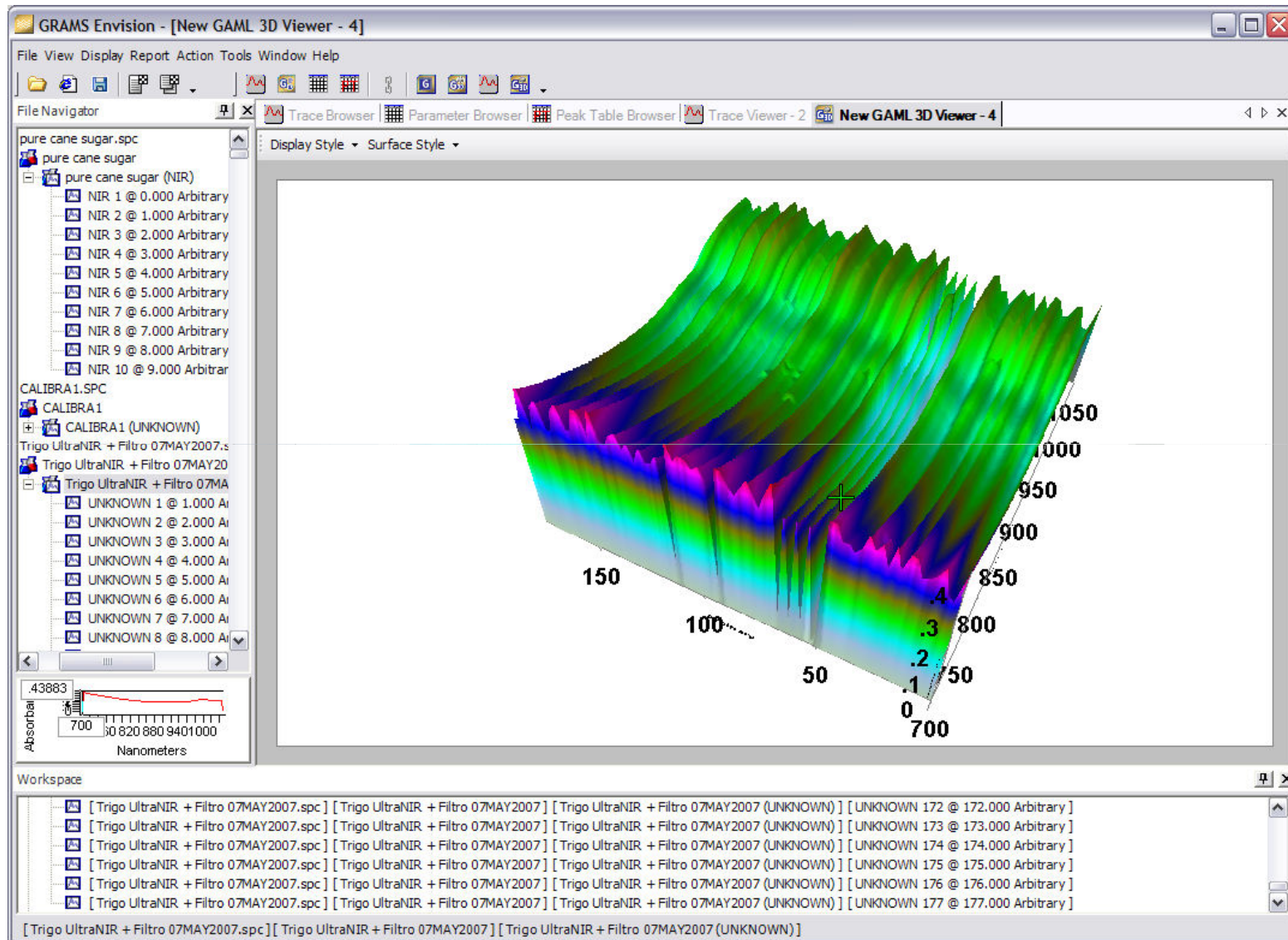
# ..and Desktop Viewing of real raw data.



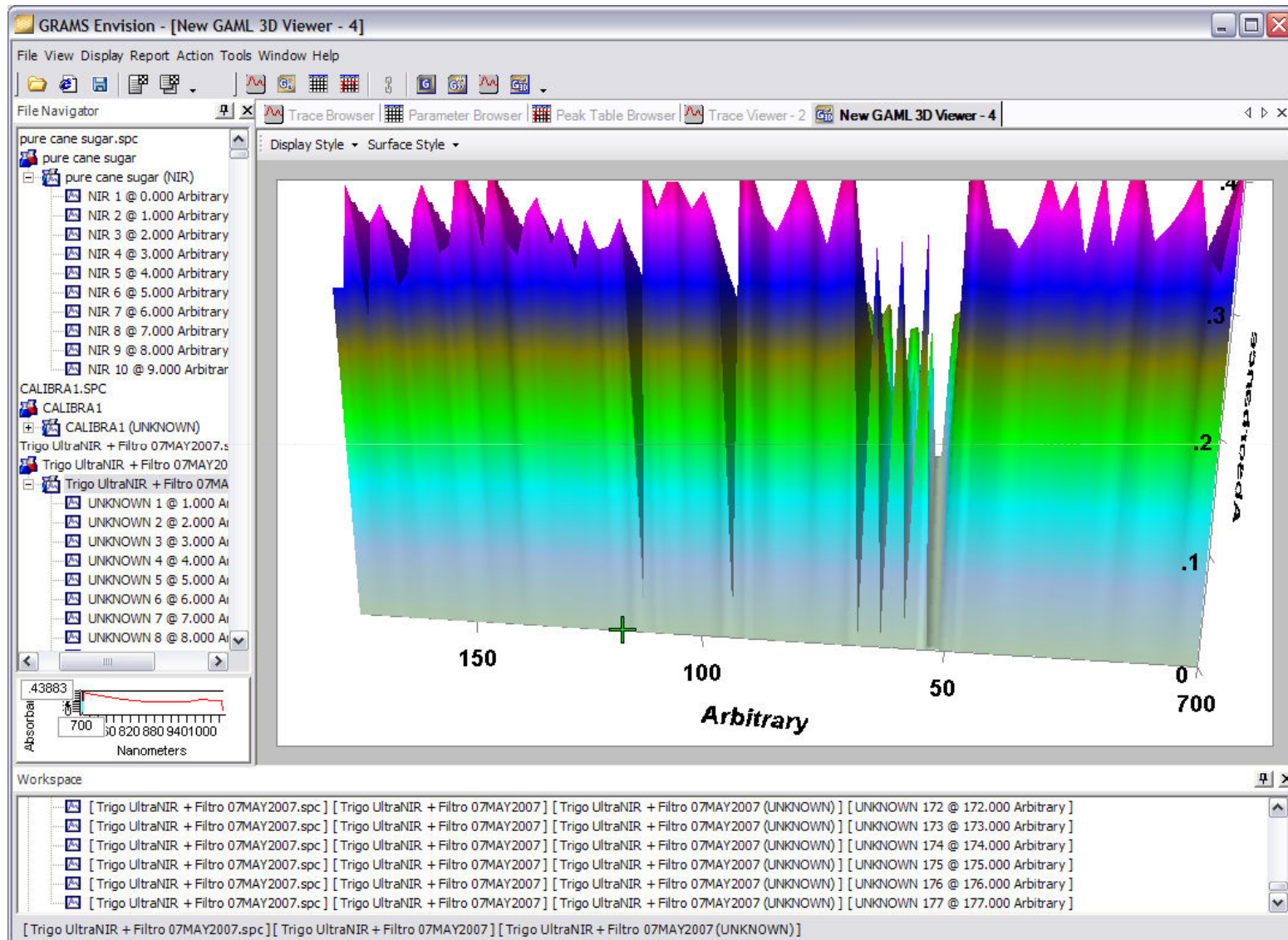
# ..and Desktop Viewing of real raw data.



..and Desktop Viewing of real raw data.

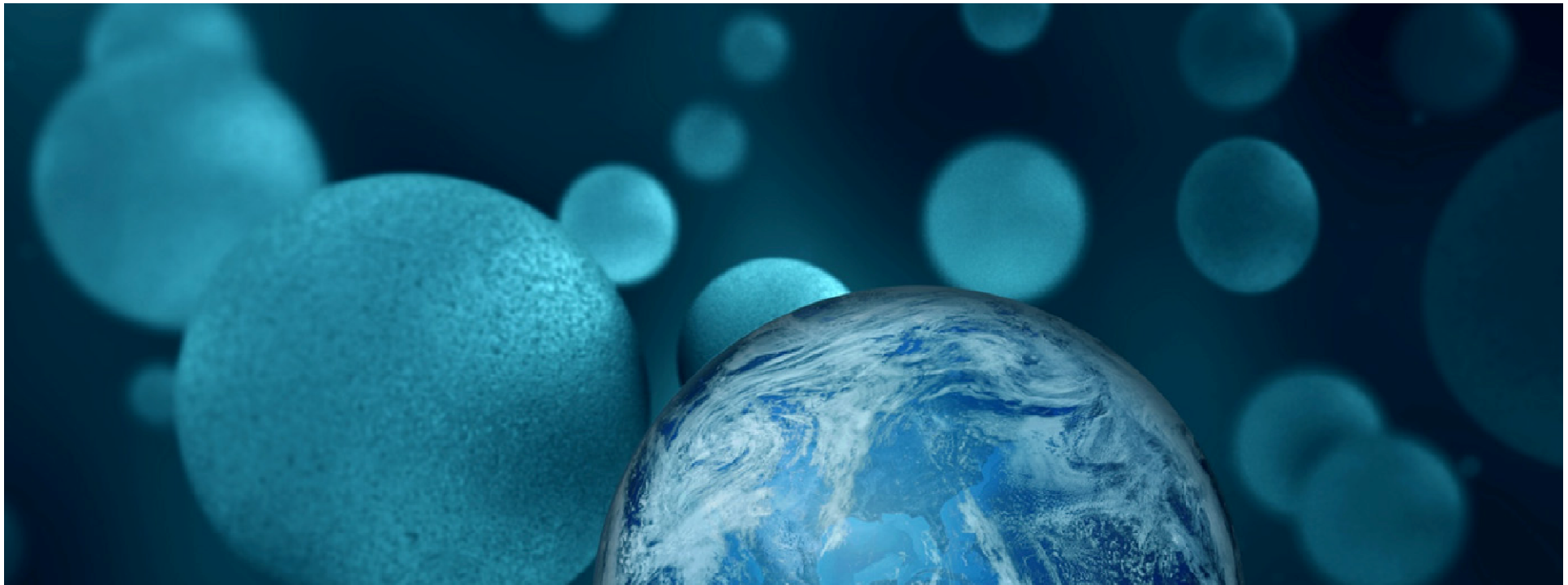


..and Desktop Viewing of real raw data.



.....and not forgetting





**ThermoFisher**  
S C I E N T I F I C

## High Data Volume Sports Anti-Doping Case Study

The world leader in serving science



- Sports Anti-Doping Laboratory
  - Collate data from many instruments from multiple vendors
  - Security primary focus
  - High volume of data

# Sports Anti-Doping Requirements

- High visibility event
- Around 5,000 samples, average of 3 results per sample
  - Approximately 15,000 results
- Raw data has to be retained for 8 years
  - Secure
  - Future-proofed
  - Easy to retrieve if required

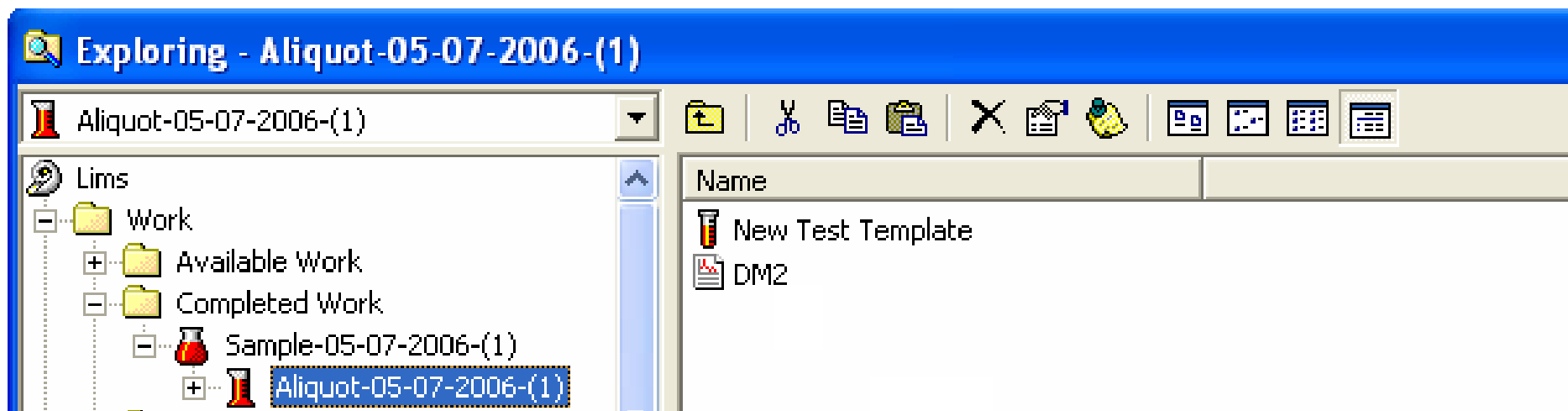


# Many Instruments to Connect

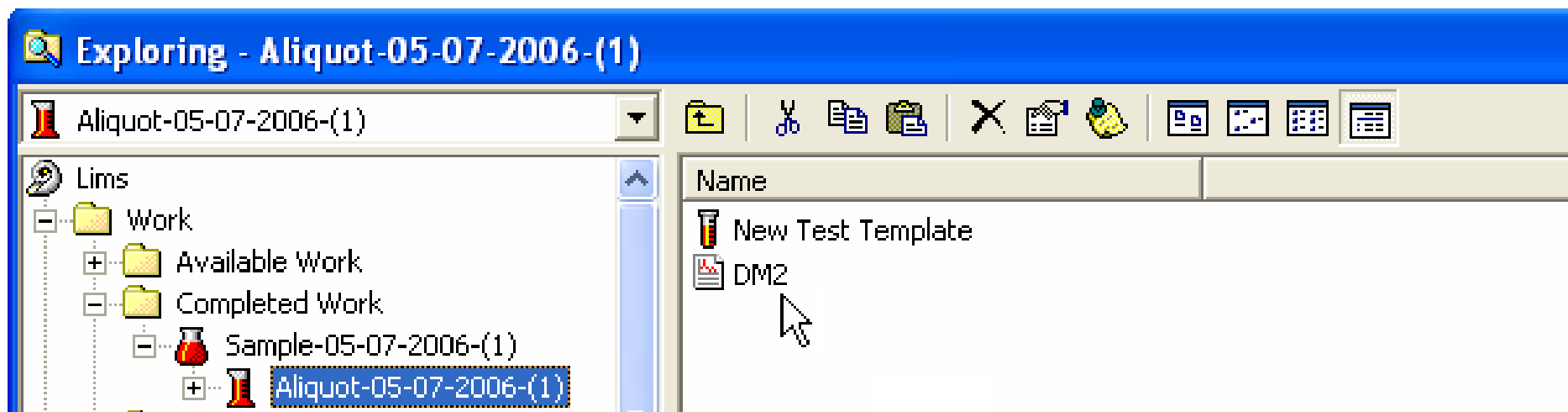
- Connections to  $\approx 21$  different instrument types,  $\approx 53$  in total
  - 9 Thermo Scientific Exactive LC/MS
  - 10 GC/MS/MS, 5 GC/MS
  - 2 Thermo Scientific Orbitrap Velos HRMS; 1 Q-Exactive
  - 3 Thermo Scientific Delta V IRMS
  - 18 other instruments
- Also interface to the reporting system



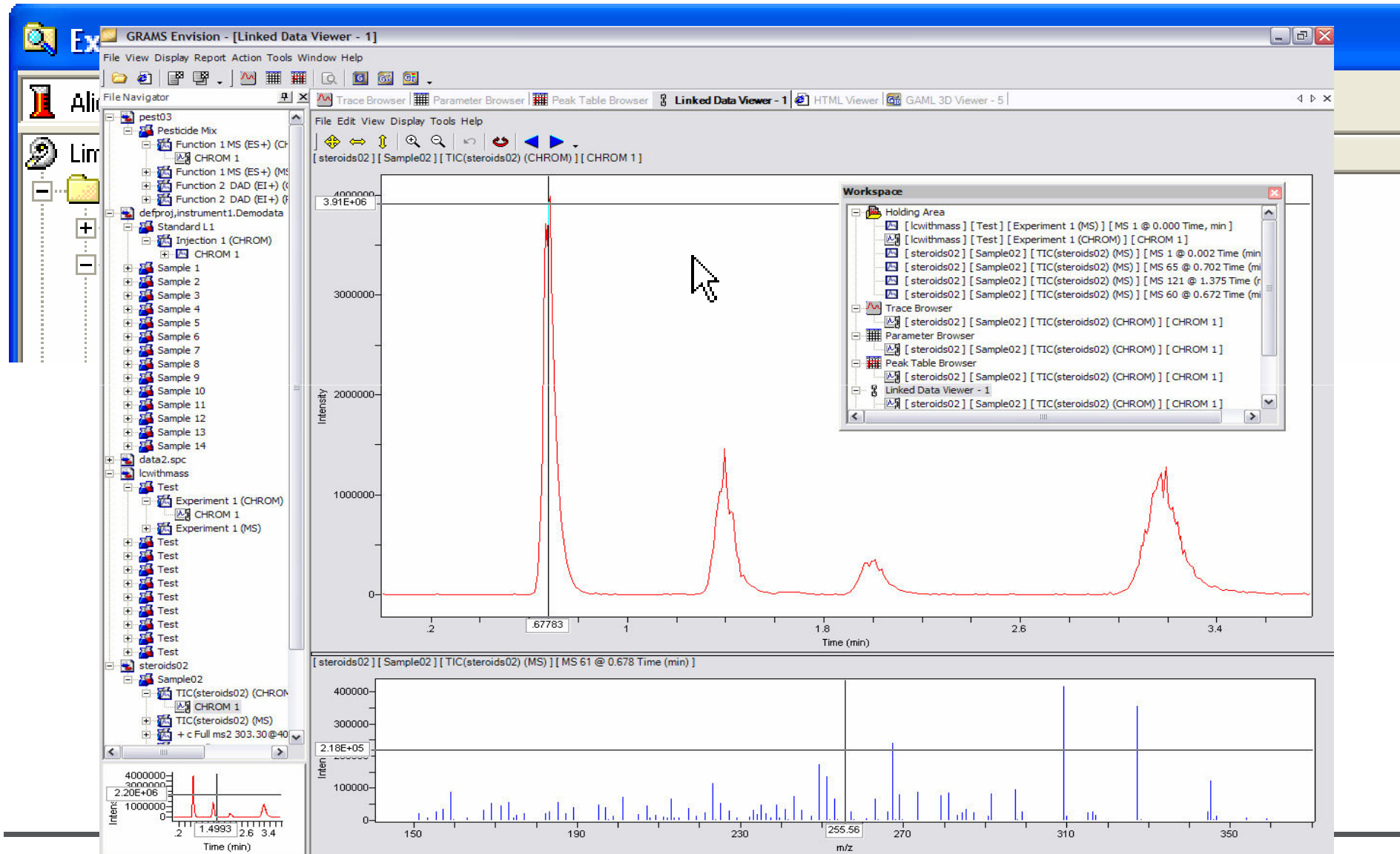
# Reviewing Data from within LIMS



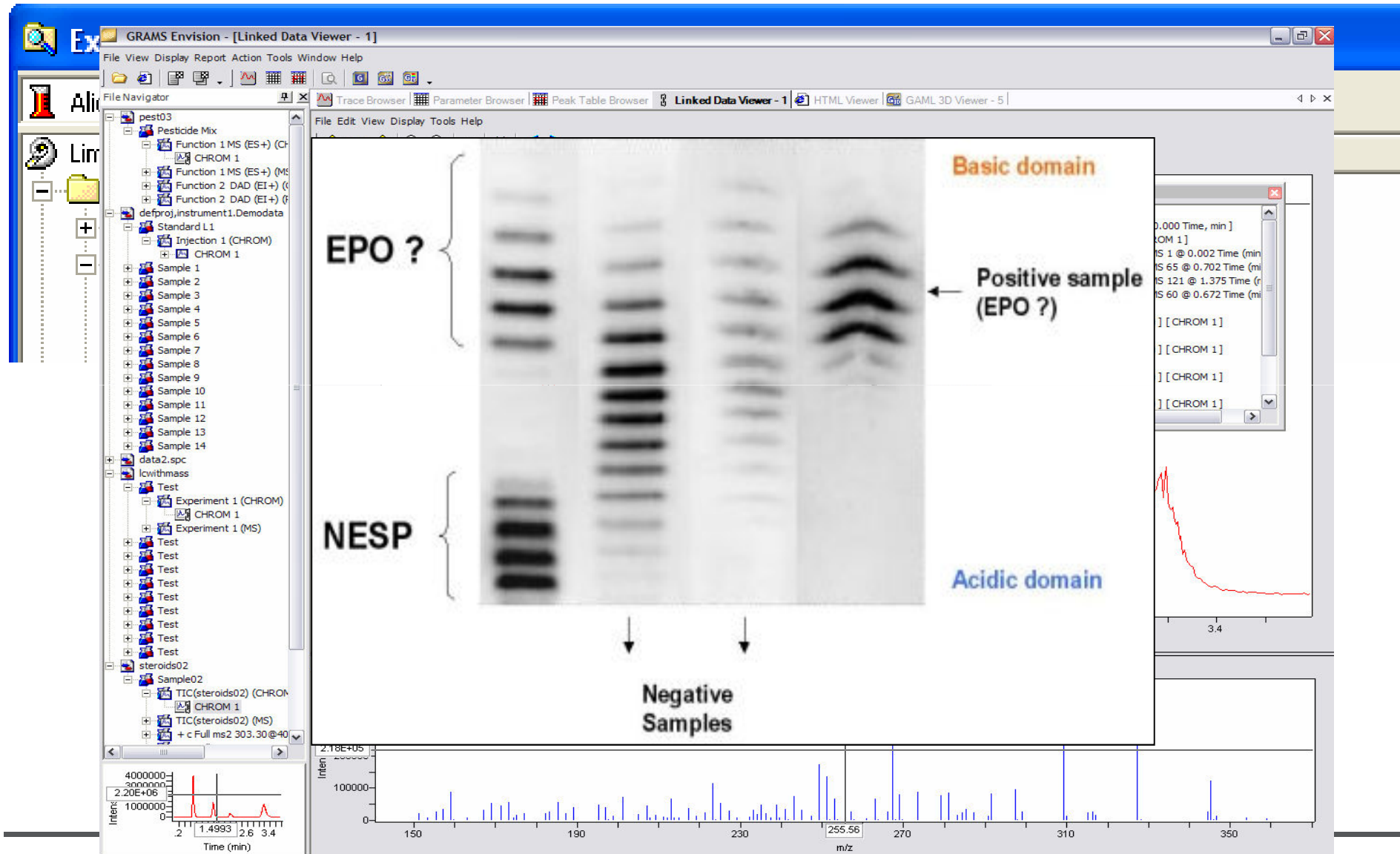
# Reviewing Data from within LIMS



# Reviewing Data from within LIMS

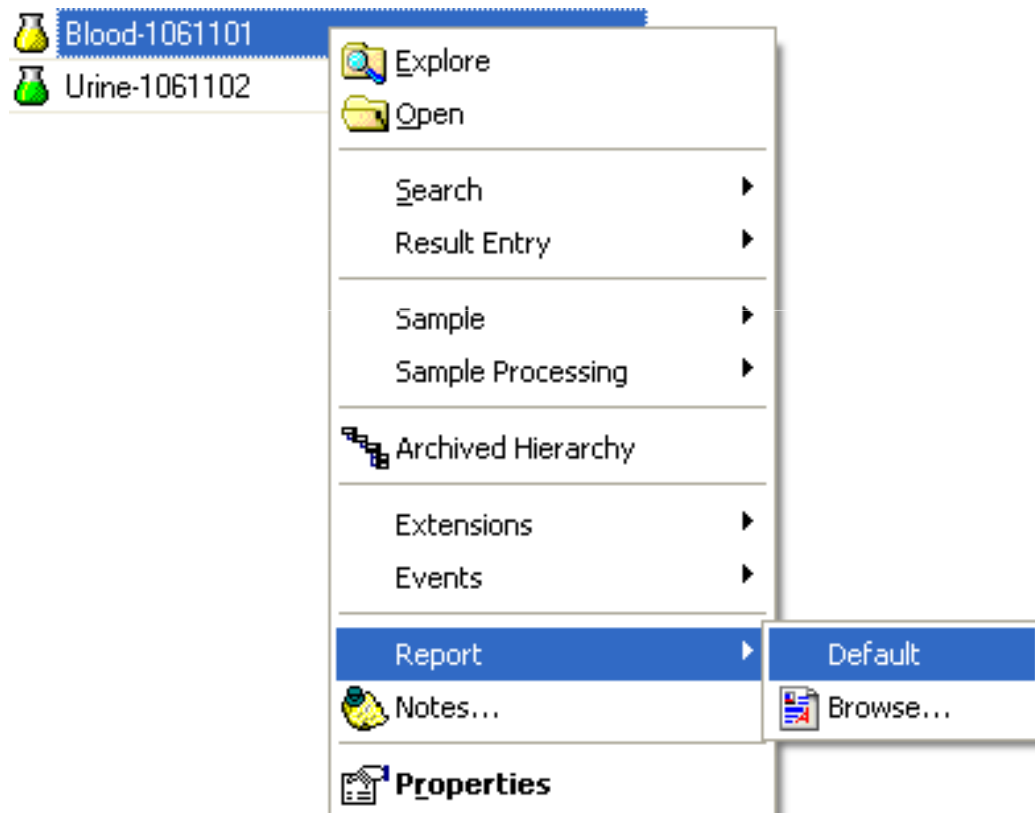


# Reviewing Data from within LIMS



# Linking Reports for Positives (...and Negatives)

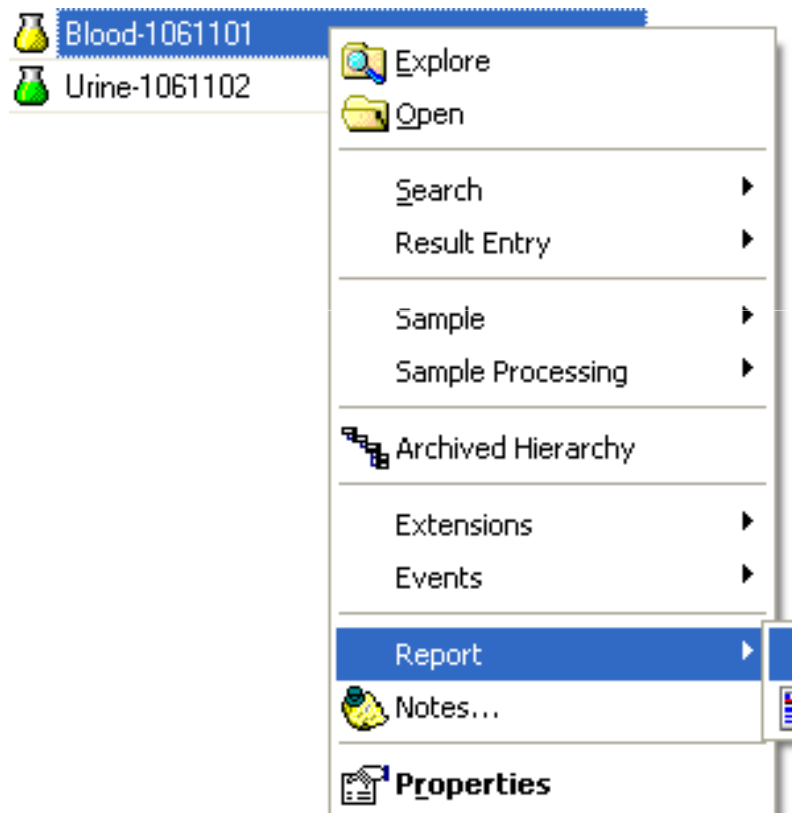
- Built-in reporting as standard, using configurable templates





# Linking Reports for Positives (...and Negatives)

- Built-in reporting as standard, using configurable templates



Laboratory Address GSK Harlow  
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ISO/IEC 17025 Certificate No.: 123

King's College London  
Page 1 of 1  
19.09.2010


**Test Report**  
**Confidential**  
No. 12093

Sample ID	Urine-(Sep 18,2010)-011
Laboratory ID	[Redacted] Lab ID
Test Mission Code	7 [Redacted]
Type of Test	IC
Name of Competition	2012 [Redacted] Games
Sport/Discipline	RU
Collection Date	18.09.2010 09:34:00
Collection Site	London, UK
Date of Receipt	18.09.2010 14:12:00
Sample Type	Urine
Gender	M

The sample above was analysed using Methods GC-MS/MS, LC-MS/MS, and Isoelectric Focusing.

**Results**

The analysis of the sample identified above by the Laboratory method has shown the presence of 5 $\alpha$ -androstane-3 $\alpha$ ,17 $\beta$ -diol.

Authorised by  
  
Mike Manager  
Laboratory Director

# Thermo Scientific Informatics Delivers!

- Full support for end to end sample and results workflow
  - UKAS / World Anti-Doping Agency (WADA) accredited environment
- Reported 75% of results in 24 hours, 90% in 26 hours
- Captured 1.4 million data files from 53 instruments
  - Available for subsequent 'expert review'
  - Securely archived (min 8 years)
  - 1.2 TB data
- Supported 24 x 7 :
  - 240 scientists & staff from around the world
  - No unplanned interruption of operations throughout event



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  - No unplanned interruption of operations throughout event
- Already Booked for a similar event in 2016



Thank you



**XML Conversion**

A composite image showing a software interface. On the left, a file explorer window titled 'Exploring - Aliquot-05-07-2006 (1)' shows a folder structure with 'Sample-05-07-2006 (1)' selected. A magnifying glass is positioned over a context menu with options: 'New', 'Save As...', 'Link To - By Identifier', 'Link To - By Filter', 'Extensions', 'Report', and 'Notes...'. In the center, a window displays XML code with tags like &lt;trace&gt;, &lt;coordinates&gt;, &lt;values&gt;, &lt;peaktable&gt;, &lt;peak&gt;, &lt;baseline&gt;, &lt;startXvalue&gt;, and &lt;endXvalue&gt;. On the right, a 'GRAHS Evolution' window shows a chromatogram with a peak at 3.01E+06. A large white arrow points from the 'Link To - By Identifier' option in the menu to the chromatogram.

**Data Management**

**Seamless Data Access**

[www.thermoscientific.com/paperlesslab](http://www.thermoscientific.com/paperlesslab)